DEPARTMENT OF THE ARMY

NEW ENGLAND DIVISION, CORPS OF ENGINEERS 424 TRAPELO ROAD WALTHAM, MASSACHUSETTS 02254

REPLY TO ATTENTION OF:

NEDED-F

18 December 1981

SUBJECT: Reconnaissance Report, Surry Mountain Dam, Keene, NH

CDR (DAEN-CWO-M) WASH, DC 20314

In accordance with ER 1110-2-417, there is submitted for review and approval Reconnaissance Report, Surry Mountain Dam, located in the Connecticut River Basin, Ashuelot River, Keene, New Hampshire. As recommended in paragraph 6, a reply by 20 January 1982 would be appreciated to allow for subsequent activities to proceed as scheduled. FOR THE COMMANDER:

Inclosure (10 cys) As stated

∠ JOE B. FRYAR, P. E.

Chief, Engineering Division

EARTHQUAKE DESIGN AND ANALYSIS FOR CORPS OF ENGINEERS DAMS

RECONNAISSANCE REPORT

FOR A

SPECIAL ENGINEERING INVESTIGATION

SURRY MOUNTAIN DAM

KEENE, NH

US Army Corps of Engineers

New England Division
Engineering Division
Geotechnical Engineering Branch
Waltham, Massachusetts 02254
December 1981



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EXHIBITS

- A. STATUS OF SEISMIC EVALUATION AND ANALYSES OF EXISTING DAMS
- B. SUMMARY OF EMBANKMENT AND FOUNDATION CONDITIONS
- C. BORING LOGS AND LABORATORY TEST RESULTS
- D. PSEUDO-STATIC EARTHQUAKE STABILITY ANALYSES

- 1. <u>AUTHORITY</u>. The authority for this study and report is contained in the following:
- ER 1110-2-1806, Earthquake Design and Analysis for Corps of Engineers Dams, 30 April 1977.
- ER 1130-2-417, Major Rehabilitation and Dam Safety Assurance Program, 30 November 1980.
- EC 1110-2-229, Special Engineering Investigations, Dam Safety Assurance Program, 18 March 1981.
- 2. <u>PURPOSE</u>. Earthquake analyses performed to date indicate a potential safety problem at Surry Mountain Dam under earthquake induced loading. The purpose of this report is to justify the need for a special engineering investigation.
- 3. CURRENT STATUS. The current status of the New England Division's seismic evaluations and analyses program was presented in letter to the Commander, U. S. Army Corps of Engineers, DAEN-CWE-SS, dated 14 July 1981 in response to a letter request from the Chief, Engineering Division, Director of Civil Works dated 11 June 1981 (Exhibit A). The reply letter affirmed that thirty-five completed New England Division dams which were analysed by the pseudo-static method in accordance with the criteria in ER 1110-2-1806, have adequate factor of safety. Six of the thirty-five dams were also analysed for liquefaction and cyclic mobility potential and three out of six were found to have a potential seismic instability problem; these dams are: Knightville, Surry Mountain and West Thompson. The dynamic stability analysis of Knightville Dam is in progress and scheduled for completion in October 1982; Surry Mountain and West Thompson are scheduled for investigation in FY-82 and 83 respectively.

4. EMBANKMENT AND FOUNDATION

- a. General. Surry Mountain Dam was constructed in 1939-41 and designed by the U.S. Engineer Office, Providence, RI; Re: "U.S. Army Corps of Engineers Design Memorandum, Connecticut River Flood Control, Surry Mountain Dam, Ashuelot River, NH: Analysis of Design", July 1939. A summary of embankment and foundation conditions extracted from the Analysis of Design is in Exhibit B.
- b. Dam Embankment. The dam embankment is a rolled-earth-fill 86 feet maximum height approximately 1,800 feet long, consisting of a central impervious core flanked by random impervious and pervious shells. (Exhibit B).
- c. Foundation Conditions. The foundation is composed of three types of glacial sediments; stratified outwash sand and gravel, glacial till and glacial lake deposits of uniform fine sand and silt (Exhibit B), overlaying rock which is about 100 foot depth at the center of the valley and left abutment and rock outcropping on the right abutment. Deposits of loose, low strength, finely textured fine sand and silt occupy a prominent portion of the dam foundation.

On account of the low strength and the possibility of plastic flow or large deformation of the foundation during embankment construction it was decided during design to construct the embankment over a two construction season period to allow weaker soils to gain strength through consolidation. During the first season, the cut off trench was constructed and the site was prepared for embankment construction; the embankment was constructed during the following two construction seasons. Two borings at the landside toe of the embankment made during the 1980-81 investigation (Exhibit C) disclosed low penetration (SPT) resistance values in the foundation fine sand and silt deposit.

5. PRELIMINARY SEISMIC ANALYSES

- a. General. Seismic analyses of Surry Mountain Dam were made under the New England Division program of seismic evaluation of its existing dams. The following investigations have been completed to date and copies of the reports have been furnished to Headquarter, Department of the Army (DAEN-CWE-SS).
- (1) Remote Sensing Analysis of Fault-Related Structures in New England and Related Seismic Hazards at Corps of Engineers Projects, October 1978.
- (2) Low-Sun Angle Aerial Reconnaissance of Faults and Lineaments of Southern New England, September 1980.
- (3) Stability Analysis by the Seismic Coefficient Method, Completed New England Division Dams, August 1980.
- (4) Liquefaction and Cyclic Mobility Potential, Completed New England Division Dams, Phase I Investigation, September 1980; Phase II Investigation February 1981.
- b. Pseudo-static Analysis. A pseudo-static earthquake stability analysis was performed for the steady seepage condition with reservoir pool elevations at the critical pool level and at the maximum pool level for the upstream and downstream dam embankment slopes respectively (see Exhibit D). The minimum computed factors of safety are higher than the required minimum of 1.00 and are as follows:

Condition	Seismic Coefficient	Downstream Slope Factor of Safety	Upstream Slope Factor of Safety
Static	••	1.86	2.03
Pseudo-static	0.05	1.50	1.61
11	0.10	1.26	1.32
H	0.13	1.15	1.19

The seismic coefficient of 0.05 was selected for stability analysis from the Seismic Risk Map in ER 1110-2-1806, the 0.10 coefficient is the next higher value selected from the risk map as directed by the OCE for use in the seismic stability analysis. The 0.13 coefficient used in the stability analyses was derived by using a predicted peak acceleration determined by increasing the

recorded Mercalli intensity by one unit; the value thus obtained was attenuated to the site.

c. Liquefaction and Cyclic Mobility Potential. The investigation completed in 1981 (Exhibit B) included two foundation borings, laboratory testing of undisturbed samples and a study on the potential for cyclic mobility and liquefaction. The borings disclosed penetration resistances (SPT) values in the range of 2 to 10 blows per foot (pulley and cathead with a sleeve type hammer) in foundation soil zones of fine sand and silt. These low SPT values indicate a possible potential for liquefaction (see page 4). Cyclic and monotonic triaxial test results performed on undisturbed soil samples indicated that contractive volume changes may occur in the loose fine sand and silt zones of the dam foundation with a potential of liquefaction and cyclic mobility. Page 5 shows a typical curve for contractive and dilative soil. Results of the investigation led to a recommendation for execution of a dynamic analysis of the dam (Exhibit B).

6. RECOMMENDATION FOR A SPECIAL ENGINEERING INVESTIGATION

The investigations performed to date have disclosed that there may be a dam safety problem at Surry Mountain Dam because of the potential for liquefaction and cyclic mobility of its foundation. A special engineering investigation is needed to identify the extent and severity of the problem and the need for remedial construction work. The special engineering investigation will consist of borings, field seismic work, seismicity investigation, laboratory testing and response analysis. Funding for the investigation has been included in the New England Division O&M Budget for FY 82 and a cost estimate breakdown is as follows:

a. Work by Contract (Scheduled for Award in April 1982)

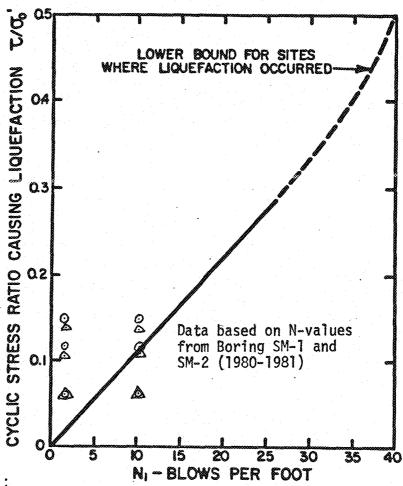
Total est. in-house cost

c. Total Cost

	Field Borings and Seismic Laboratory Testing Geology and Seismicity Response Analysis Reports and other costs	\$ 70,000 80,000 50,000 50,000 30,000	,e 223
	Total est. A-E cost	\$280,000	
b.	In-House Cost		
	Recon. Report and Contract Specs. Contract management and review work	20,000 45,000	

54,000

\$345,000

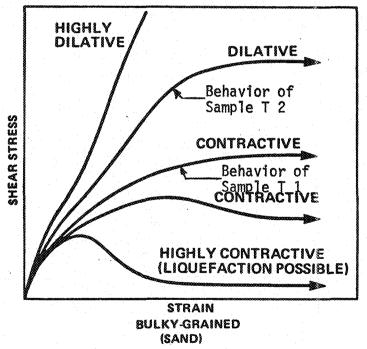


LEGEND:

- \odot Liquefaction stress ratio based on R_D=0.8 and acceleration of 0.13g, 0.10g and 0.05g at 27 feet below ground surface.
- \triangle Liquefaction stress ratio based on Rp=0.8 and acceleration of 0.13g, 0.10g and 0.05g at 36 feet below ground surface.

CORRELATION BETWEEN STRESS RATIO ASSUMING TO CAUSE LIQUEFATION IN THE FIELD AND PENETRATION OF SAND (SEED, 1976)

EFFECT OF INITIAL VOID RATIO ON STRESS-STRAIN CURVE UNDRAINED LOADING



GE1-077-575

Chart taken from G E I "Analysis of embankment and soil foundations for earthquake loading" March 14-15,1978.

EXHIBIT A

STATUS OF SEISMIC EVALUATIONS AND ANALYSES OF EXISTING DAMS

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DEPARTMENT OF THE ARMY OFFICE OF THE CHIEF OF ENGINEERS WASHINGTON, D.C. 20314

REPLY TO ATTENTION OF

DAEN-CWE-SS

11 June 1981

SUBJECT: Status of Seismic Evaluations and Analyses of Existing Dams

SEE DISTRIBUTION

- 1. Reference ER 1110-2-1806, 30 April 1977, Earthquake Design and Analysis for Corps of Engineers Dams.
- 2. The referenced regulation is currently being revised and the status of seismic evaluations is needed to accomplish the revision. Each division should review the status of the investigations and evaluations being performed and submit a revised schedule for completion of the program. This revised schedule should include all projects studied, completed and planned by name and purpose, type of structure, date the investigation was or will be started, date completed or planned completion date, the magnitude of the design earthquake at the site, and actual or planned cost of the investigation and/or evaluation. Projects that have been excluded from this program should be listed separately.
- 3. In cases where ongoing seismic evaluations will lead to future comprehensive studies and/or remedial measures, the report should be submitted to this office for approval.
- 4. The schedule should be submitted to DAEN-CWE-S by 15 July 1981. The funding summary should be furnished not later than 15 September 1981.

FOR THE CHIEF OF ENGINEERS:

LLOYD A. DUSCHA, P.E.

Lud a Durch

Chief. Engineering Division

Directorate of Civil Works

DISTRIBUTION See Page 2

DAEN-CWE-SS 11 June 1981
. SUBJECT: Status of Seismic Evaluations and Analyses of Existing Dams

DISTRIBUTION:

Division Engineer, Lower Mississippi Valley (ATTN: LMVED-G)
Division Engineer, Missouri River (ATTN: MRDED-G)
Division Engineer, New England (ATTN: NEDED-F)
Division Engineer, North Atlantic (ATTN: NADEN-TF
Division Engineer, North Central (ATTN: NCDED-F)
Division Engineer, North Pacific (ATTN: NPDEN-GS)
Division Engineer, Ohio River (ATTN: ORDED-G)
Division Engineer, Pacific Ocean (ATTN: PODED-G)
Division Engineer, South Atlantic (ATTN: SADEN-F)
Division Engineer, South Pacific (ATTN: SPDED-G)
Division Engineer, Southwestern (ATTN: SWDED-F)

14 July 1981

NEDED-F

SUBJECT: Status of Seismic Evaluations and Analyses of Existing Dems

CDE USACE (DAEN-CHE-SS) WASH DC 20314

- 1. Reference your letter of 11 June 1981, subject as above (copy attached) and to telephone discussions of 7 and 8 July 1981 with Mr. A. Walz.
- 2. Starting in September 1977, NED has been executing a program of seismic evaluations of its existing dams. Of the thirty-nine existing NED dams, thirty-five have been considered, the other four dams were excluded because their failures would not endanger lives or vital installations. The attached Table I is a listing of the dams covered by this program which includes purpose, type and peak accelerations for the design earthquakes for each site and a listing of the excluded dams.
- 3. Regional and detailed tectonic investigations have been completed for all thirty-five dams. The peak accelerations shown on Table I are based on the historical earthquake activity. All thirty-five dams have been analyzed for seismic stability by the pseudo-static method. Results of the analyses show factors of safety of unity, or greater, for the seismic coefficients for the seismic risk somes in which the dams are located and for the mext higher somes. Reports covering these investigations and analyses were forwarded on 14 January 1981.
- 4. Six dams were investigated for their potential for liquefaction and cyclic mobility. The Phase I and Phase II reports for this investigation were forwarded on 14 January 1981 and 19 March 1981 respectively. Based on the results of this investigation, three dams have been selected for dynamic analysis for stability and deformation under earthquake loading. The dynamic analysis investigation is in progress for one dam, Knightville, and is planned for Surry Mountain and West Thompson starting in FY-82 and 83 respectively.

MEDED-F 13 July 1981 SUEJECT: Status of Seismic Evaluations and Analyses of Existing Dams

5. The attached Table II presents the status of NED activities for this program including start and completion dates and a funding summary.

FOR THE COMMANDER:

3 Inclosures

JOE B. FRYAR, P. E. Chief, Engineering Division

CF Proj. Mgt. Br. (Mr. Gould) Operations Div. (Mr. Minior) GEB Files Eng Div Files (1125)

EXISTING NED DAMS INVESTIGATED UNDER ER 1110-2-1806

(EARTHQUAKE DESIGN AND ANALYSIS FOR CORPS OF ENGINEERS DAMS)

JULY 1981

	DAM	PURPOSE	TYPE	PEAK ACCELERATION*(g)
1.	Ball Mountain	FC	E-R	0.18
2.	Barre Falls	FC	E-R	0.18
3.	Birch Hill	FC	E	0.18
4.	Black Rock	FC	E	0.25
5.	Blackwater	FC	E-R	0.18
б.	Buffumville	FC	E	0.18
7.	Colebrook River	FC-WS-R	E-R	0.25
8.	Conant Brook	FC	E-R	0.18
9.	East Branch	FC	E	0.18
10.	East Brimfield	FC-R	E	0.25
11.	Edward MacDowell'	FC	E-R	0.18
12.	Everett	FC-R	E-R	0.18
13.	Franklin Falls	FC	E-R	0.25
14.	Hall Meadow Brook	FC	E	0.18
15.	Hancock Brook	FC	E	0.25
16.	Hodges Village	FC-R	E-R	0.18
17.	Hop Brook	FC-R	E	0.25
18.	Hopkinton	FC-R	E-R	0.18
19.	Knightville	FC	E	0.18
20.	Littleville	FC-WS	E-R	0.18
21.	Mad River	FC	E	0.18
22.	Mansfield Hollow	FC	E	0.25
23.	Northfield Brook	FC-R	E-R	0.25

	DAM	PURPOSE	TYPE	PEAK ACCELERATION*(g)
24.	North Hartland	FC-R	E	0.18
25.	North Springfield	FC-R	E-R	0.18
26.	Otter Brook	FC-R	Ε .	0.18
27.	Sucker Brook	FC	E-R	0.18
28.	Surry Mountain	FC-R	E-R	0.13
29.	Thomaston	FC	E-R	0.13
30.	Townshend	FC-R	E	0.18
31.	Tully Lake	FC	E-R	0.18
32.	Union Village	FC	E-R	0.18
3 3.	West Hill	FC-R	E	0.18
34.	West Thompson	FC-R	E	0.18
35.	Westville	FC-R	E	0.18

*Peak acceleration at site for design earthquake

LEGEND

FC - Flood Control
WS - Water Supply
R - Recreation
E - Earth Fill
R - Rock Fill

Existing NED Dams not included in program because failure would not endanger lives or vital installations.

- a. Charles River Run-of-river dam to control fresh water level in tidal basin.
- b. Cherryfield Log-crib run-of-river dam for ice jam control.
- c. Wright Reservoir Low level dam (17-foot) for urban drainage system.
- d. Smelt Brook Low level dam (15-foot) for urban drainage system.

STATUS OF SEISMIC EVALUATIONS AND ANALYSES OF NED DAMS

JULY 1981

Activity	Dams Covered	Date Start	es Complete	Funding Status (Thousand Dollars)	Report Titles
. Regional Tectonic Investi- gation	35 dams (See Table I)	Sep 77	0ct 78	19.2	*Remote Sensing Analysis of Fault Related Structures in New England and Related Seismic Hazards at Corps of Engineers Projects
Petailed Tectonic Investigation	35 dams (See Table I)	Jan 78	Sep 80	32.8	*Low-Sun Angle Aerial Recon- naissance of Faults and Lineaments of Southern New England
1. Pseudo-static Stability Analysis	35 dams (See Table I)	Jan 80	Oct 80	77.5	*Stability Analyses by the Seismic Coefficient Method- Completed New England Div. Dams
. Liquefaction and Cyclic Mobility Investigation	Franklin Falls Surry Mountain Knightville Hodges Village West Thompson Mansfield Hollow	Jan 80	Jan 81	180.5	*a. Liquefaction and Cyclic Mobility Potential - COE Completed New England Dam - Phase I Investigations **b. Phase II Investigations
. Dynamic Analyses	a. Knightville	Mar 8]	Dec 82(est)	377.4 (budgeted)	
	b. Surry Mountain c. West Thompson	FY-82 FY-83	FY-83 FY-84	344.0 (budgeted) 360.0 (budgeted)	
		Total E		346.6 1,081.4	
				346.6	

Copies furnished DAEN-CWE-SS by 1tr of 14 Jan 81 * Copy furnished DAEN-CWE-SS by 1tr of 19 Mar 81

EXHIBIT B

SUMMARY OF EMBANKMENT AND FOUNDATION CONDITIONS*

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^{*}The material in this exhibit was obtained from the report entitled "Liquefaction and Cyclic Mobility Potential, Corps of Engineers Completed New England Dams, Phase I and II Investigation, Sept 1980 and Feb 1981 respectively.

SURRY MOUNTAIN DAM

1. GENERAL

The Surry Mountain Dam is located on the Ashuelot River, a tributary of the Connecticut River, about five miles northwest of Keene, New Hampshire (see Page B-6). The dam is one of a series included in the "Revised 1936 Flood Control Act Project for the Connecticut River Valley," and was constructed in 1939 and 1941.

The dam is a rolled-earth fill structure having a maximum height of 86 feet and a total length of approximately 1,800 feet. As shown on Page B-7 the embankment consists of a "select impervious"core that is tied to the foundation with a cutoff trench, and "random impervious" and "pervious" shells. Upstream and downstream slopes are riprapped with dumped rock, and vary in slope from 2.5 horizontal (H) to 1 vertical (V) between Elevation 565 feet and Elevation 550 feet, to 3H to 1V between Elevation 550 feet and Elevation 520 feet, to 5H to 1V below Elevation 520 feet. Rock toes exist on each slope. The outlet works and the main spillway weir are constructed on rock in the right (west) abutment.

2. GEOLOGY

The Ashuelot River is situated in a rugged upland area composed of igneous and closely folded metamorphic rocks, which at some locations occur at the surface due to weathering and pre-glacial stream erosion and at other locations are covered by glacial deposits. Igneous rock or granite is exposed near the right abutment of the dam, but as much as 100 feet of glacial overburden remains in the middle of the valley and near the left abutment.

Three types of glacial sediments comprise the overburden: (1) stratified outwash sand and gravel, (2) unstratified glacial till, and (3) uniform glacial lake deposits. These deposits are described in the next section in more detail, especially the glacial lake deposits which are felt to be the least resistant to liquefaction and cyclic mobility. The interstratified deposits of finely textured sand and silt are predominant in the foundation of the dam.

The lower part of the valley consists primarily of deposits of outwash sand and gravel. These deposits occur in partially eroded terrace formations, and are coarsely granular and pervious. Glacial till occurs in most of the hillside that forms the left abutment. This formation is well graded, devoid of bedding and very compact, making it relatively impervious.

3. FOUNDATION CONDITIONS

Previous Exploration. Prior to construction of the dam, subsurface exploration programs were conducted using core borings, test pits and auger borings. Fifty-eight core borings were advanced to explore a total of approximately 3,200 feet of subsurface material, including more than 300 feet of rock core and more than 340 feet of undisturbed soil samples. A total of twenty-four test pits

were dug in the area of the foundation and 124 in borrow areas; 128 auger borings were also used to explore both foundation and borrow areas. Boring locations are shown on Page B-8 and boring logs are shown on Pages B-9 thru B-11. Note that no penetration resistance was measured while advancing spoon samplers, and therefore, no quantitative description of density (such as SPT resistance) is provided on the boring logs.

The exploration program permitted cross-sections of subsoils to be developed as shown on pages B-12 and B-13. Page B-13 indicates that the foundation soils along the centerline of the dam are primarily interbedded sands and silts that extend to a maximum depth of approximately 100 feet. The Providence District system of soil classification was used. This system, summarized on Page B-13 classifies soils from Class I (clean gravel) to Class I3C(variable clay). It is important to note that soils designated by even numbers are uniformly graded, while those designated by odd numbers are well graded. Accordingly, Class 6 material (uniform fine sand to coarse silt) for example, might be expected to exhibit quite different liquefaction potential or cyclic mobility than Class 7 material (variable graded from gravel to coarse silt).

It may be seen from Page B-12 and B-13 that the shallow overburden in the right abutment consists of uniform fine sand and glacial silt (Classes 6, 8, 10 and 12) overlain by sand and gravel (Classes 2, 4, 5 and 7). The left abutment contains unstratified, dense glacial till consisting primarily of Classes 7, 9 and 11. However, the foundation of the embankment is composed of thick deposits of finely laminated and interstratified sediments designated as Classes 8 and 10, which are overlain and underlain by sands (often Class 6) and gravels.

It may be seen from the laboratory test data furnished on Page B-13that void ratios for Class 10 material (uniform medium to fine silt) range from approximately 0.63 to 1.04, mean value being approximately 0.85. These void ratios presented for Class 8 material range from 0.61 to 0.76, which are also rather large. Accordingly, Class 10 soils would appear to exist at a relative density not much higher than 40 percent.

The low density of these soils is also indicated by low strengths. The angle of internal friction for the silt varies from approximately 23 to 34 degrees and the cohesion is negligible (less than 10 percent of the soil is clay). Low strength and the possibility of large plastic deformation or flow of the silts within the foundation soils was a concern of the designers with regard to stability, and led to the determination of consolidation characteristics of the material. It was decided to extend the duration of embankment construction to nearly two years to permit the weaker foundation soils to strengthen gradually through consolidation; also, the slopes were flattened to 5 horizontal to 1 vertical in the lower third of the embankment, presumable to provide both additional confining pressure and reduction of shear stress on the foundations.

Recent Borings. Two borings (SM-1 and SM-2) were made at the down-stream toe of the dam to investigate the loose foundation soils. These borings were taken through the spoil fill beyond the rock toe. Boring SM-1 was taken to refusal at a depth of 83 feet and Boring SM-2 was taken to 80.5 feet. Standard penetration tests (SPT) were taken at 5-foot intervals except in the loose zone where undisturbed samples were taken in SM-1. In SM-1 between 26 and 55 feet, four 3 inch undisturbed Shelby tube samples were taken. In this zone, SPT's were taken at approximately 10 foot intervals.

Borings SM-1 and SM-2 indicate that there are approximately 50 feet of very loose to loose, stratified uniform very fine sand and silt with occasional thin, stiff silty clay layers. The upper portion is somewhat finer than the lower portion of the stratum. Laboratory gradation and water content tests* were performed on selected representative samples in the stratum. Based on water content and specific gravity determinations, the void ratios of the silt and fine sand are between 0.9 and 1.2. The sand below about 50 feet has void ratios in the range of 0.4 to 0.7. SPT values* in the silt and fine sand stratum were generally beween 2 and 10 blows per foot. Below the stratum is about 20 feet of medium dense, stratified fine sand and gravelly sand. SPT values were generally between 15 to 20 blows per foot in this lower stratum.

4. TRIAXIAL TESTS ON FOUNDATION SOILS

Because void ratios were found to be large and SPT resistance low, it was considered advisable to conduct both undrained monotonic and cyclic tests on saturated samples of the foundation soils. The stress-controlled monotonic tests would indicate whether these soils exhibited dilative or contractive behavior when sheared, and hence whether a flow condition could occur in situ. The cyclic triaxial tests would indicate the extent of cyclic mobility that might be anticipated under the imposition of seismically induced shear stresses. Tests conducted on soils at Surry Mountain (three monotonic and two cyclic tests) were intended to be exploratory only. Conclusions based on results of these tests are therefore tentative, and should be based ultimately on more extensive laboratory test data.

Two monotonic stress controlled triaxial tests were conducted on specimens recovered from Sample T2 in Boring SM1 at a depth of approximately 36 feet. The two specimens comprised of fine sand with some silt had initial dry unit weights of 101.7 pcf and 97.8 pcf, respectively. The plots of deviator stress versus axial strain and pore pressure versus strain* showed marked dilation when sheared. Accordingly, the points shown on the plot of void ratio versus effective confining pressure will lie below the flow line (associated with actual liquefaction) for this soil. It should be noted that the densities of the soils tested may not be representative of most of the foundation soils, as the SPT resistance tended to be somewhat higher around the depth of 36 feet compared with that at lesser and greater depths. Moreover, the very loose silt stratum found to exist between depths of 12 feet and 27 feet is expected to contract (and thus flow) when sheared.

*Test results are shown in Exhibit C

A single monotonic triaxial test was conducted on a reconstituted specimen comprising of silt and fine sand at an initial dry density of 92.2 pcf. (The material tested was that used previously in cyclic tests conducted on undisturbed samples, as described below.) As shown on the plots of deviator stress versus strain and pore pressure versus strain*, this specimen exhibited contraction during shearing. Accordingly, it is likely that at least part of the silt stratum may exist at a void ratio greater than the critical value.

Two cyclic triaxial tests were conducted on undisturbed silt samples recovered in Sample T1 from Boring SM-1 at a depth of approximately 27 feet. The initial dry densities of the two specimens were 93.6 pcf and 91.2 pcf respectively. As shown on the plot of shear stress ratio versus number of cycles, the soil exhibited a large accumulation of pore pressure and 5 percent strain (peak-to-peak) in slightly more than one cycle for a stress ratio of 0.3, and 10 percent strain at three cycles. For a stress ratio of 0.2, 5 percent and 10 percent strains occurred at 12 cycles and 20 cycles, respectively. These low cyclic strengths further indicate the contractive nature of the silts encountered in the foundation soils.

DAM EMBANKMENT

The rolled-earth fill method, rather than a hydraulic fill method, was used for construction of the embankment, primarily to make a two season construction schedule economical. The foundation was expected to consolidate and strengthen sufficiently during construction to permit adequate stability against plastic flow.

The embankment materials were procured from local borrow areas and were placed by trucks or crawler wagons and compacted by sheepsfoot rollers. The embankment is composed of four types of fill:

pervious fill

(1) (2) (3) impervious and random impervious fill

rock toes and dumped riprap

(4)gravel filters and bedding

According to the design memorandum, the pervious fill was to consist of Classes 2, 3, 4 and 5 soils, and were to be placed in such a manner that the finer materials would be nearer the random impervious section; the coarser materials were to be placed nearer the outer faces of the embankment. The impervious material consists of Classes 7, 9 and 11. All soils were to be either dried or moistened to near optimal water content, spread in 6 inch thick layers, and rolled. Standard Proctor tests yielded moist weights of 120 to 140 pcf at optimal water contents of 9 to 16 percent for pervious materials; moist unit weights of 135-145 pcf were obtained at optimal water contents of 8 to 14 percent for impervious materials. The impervious section was to be compacted by a sheepsfoot roller, the random impervious sections by twin rollers, and the pervious sections by a plain cylindrical roller. A minimum of six passes of the rollers was specified.

*Test results are shown in Exhibit C

Material for rock toes and riprap were to be obtained primarily from structural excavations. The rock was to be dumped in place with the larger rocks at the outer faces and the smaller rocks and spalls adjacent to the embankment. Gravel bedding and select gravel filter material was to be inspected visually.

Stability analyses of the embankment itself yielded a factor of safety near 2.0 for the most severe loading conditions, i.e., sudden drawdown. Also, owing to the presence of the weaker foundation soils, a stability analysis against sliding was conducted, and yield a factor of safety of 1.87. This value is based on a conservative estimate of \emptyset = 25 degrees.

6. CONCLUSIONS AND RECOMMENDATIONS

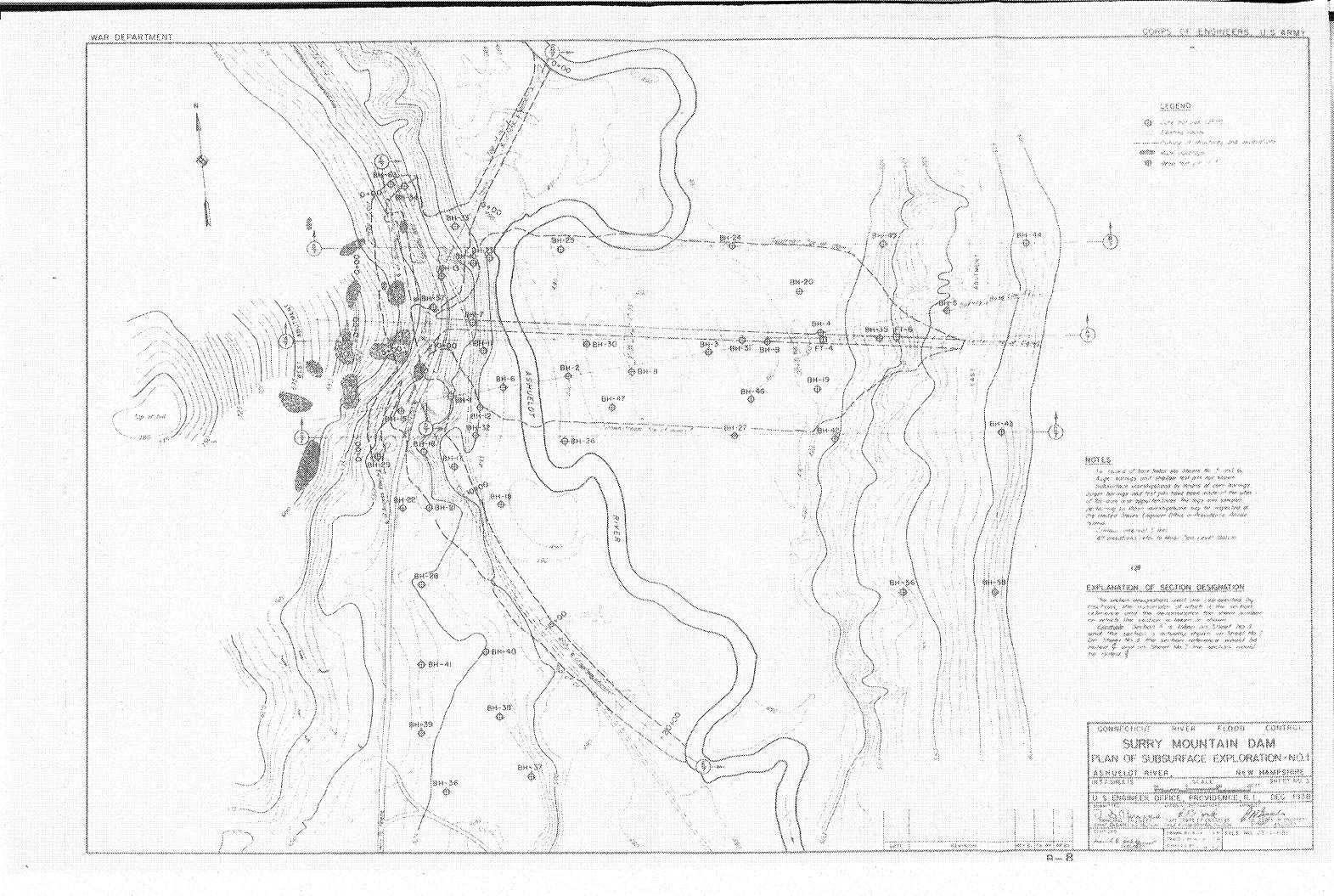
The potentials for actual liquefaction and cyclic mobility of the embankment soils are expected to be "negligible," as these soils will dilate during shear. Although the embankment soils might exhibit cyclic mobility, the strains are expected to be small because of the high density of these materials and the relatively low (0.13g) peak ground acceleration.

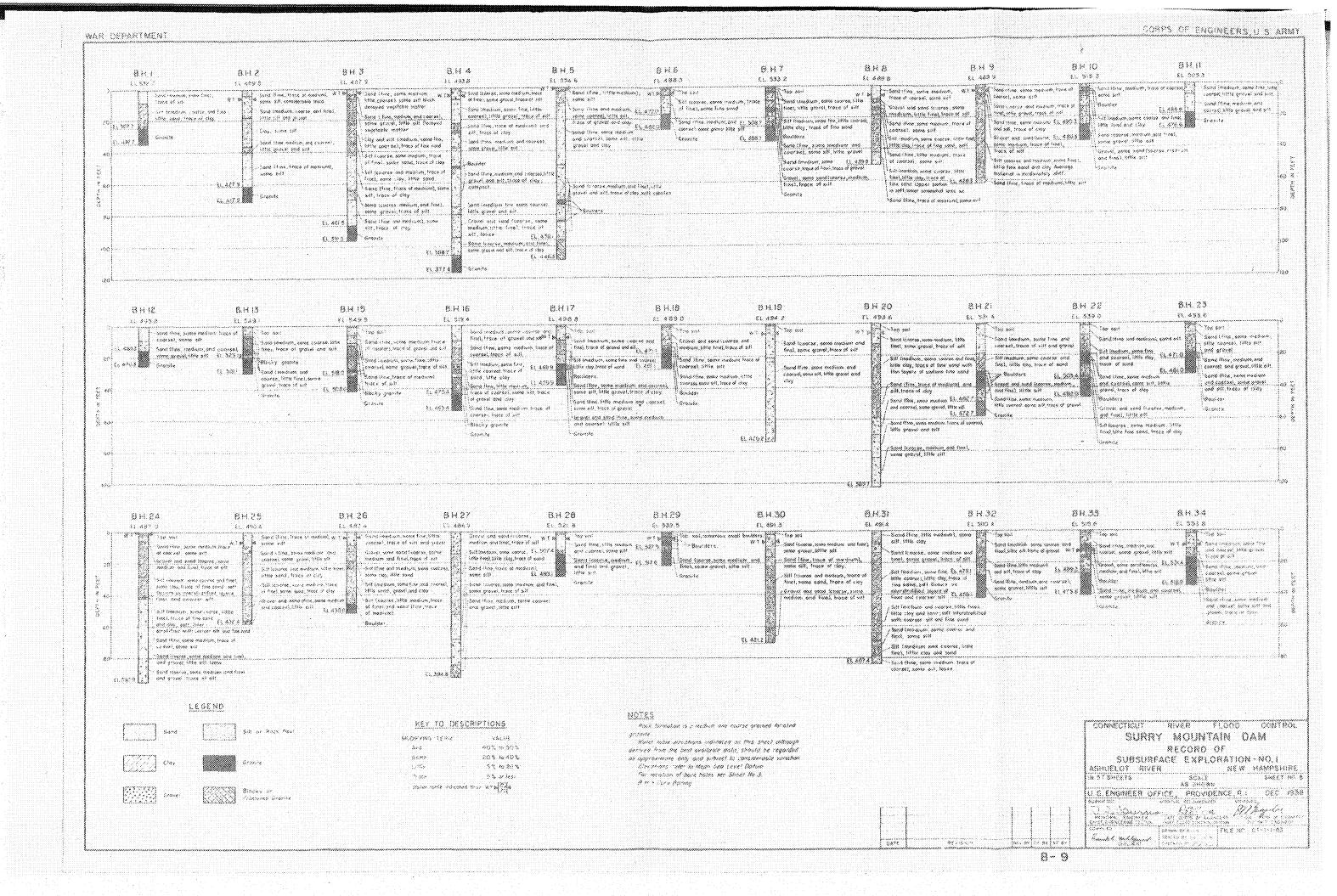
Standard penetration resistances and the results of monotonic and cyclic triaxial tests of foundations sands and silts* indicate the presence of foundation soil zones with "possible" potential for liquefaction and a cyclic mobility potential of "high" at the embankment toes and "possible" at the embankment centerline. It is recommended that additional explorations and laboratory testing be conducted to better establish those soil properties related to liquefaction and cyclic mobility and the spatial distribution of those properties. It is also recommended that a dynamic analysis be performed which properly accounts for seismic loading and material properties.

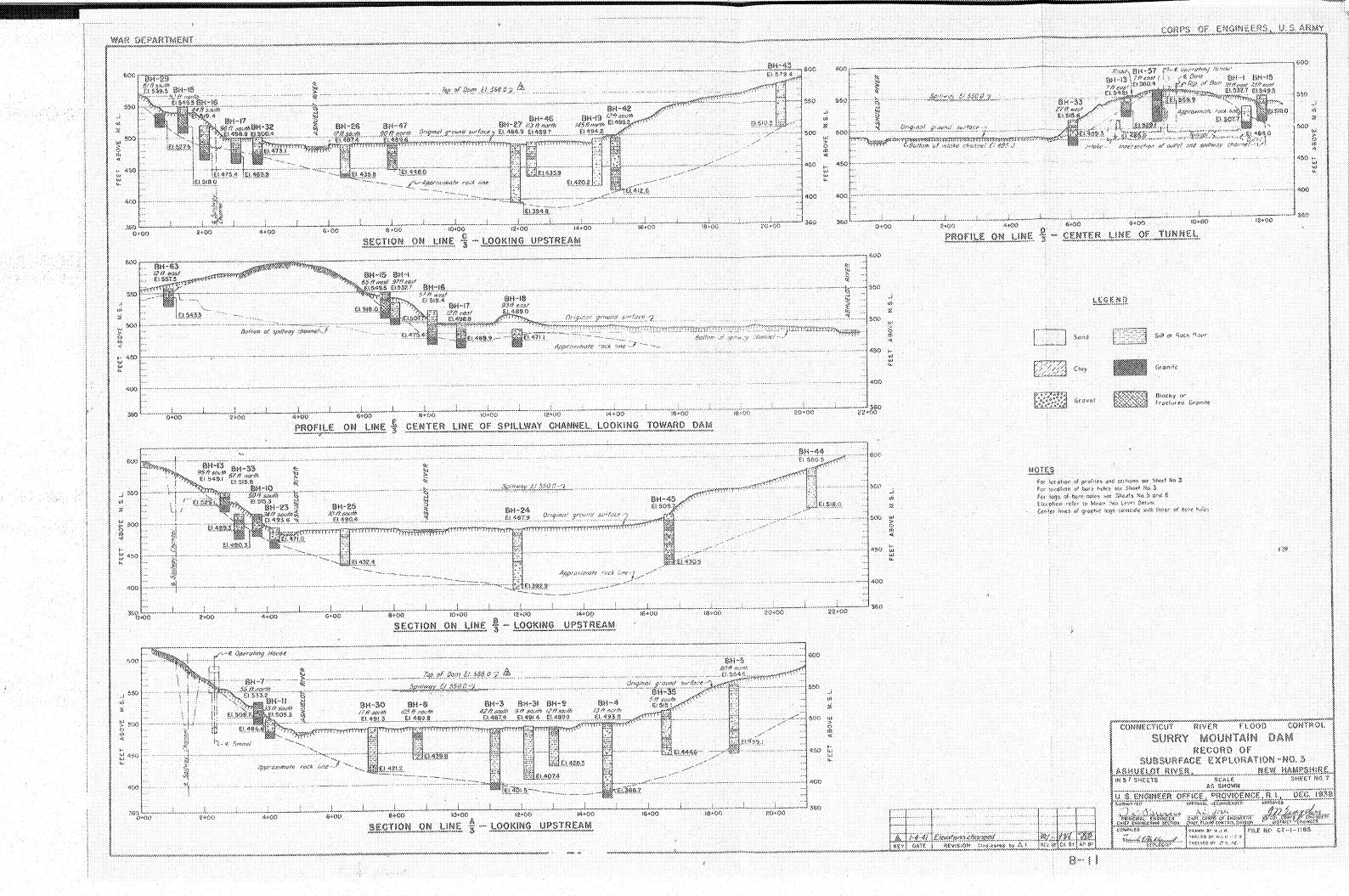
*Test results are shown in Exhibit C

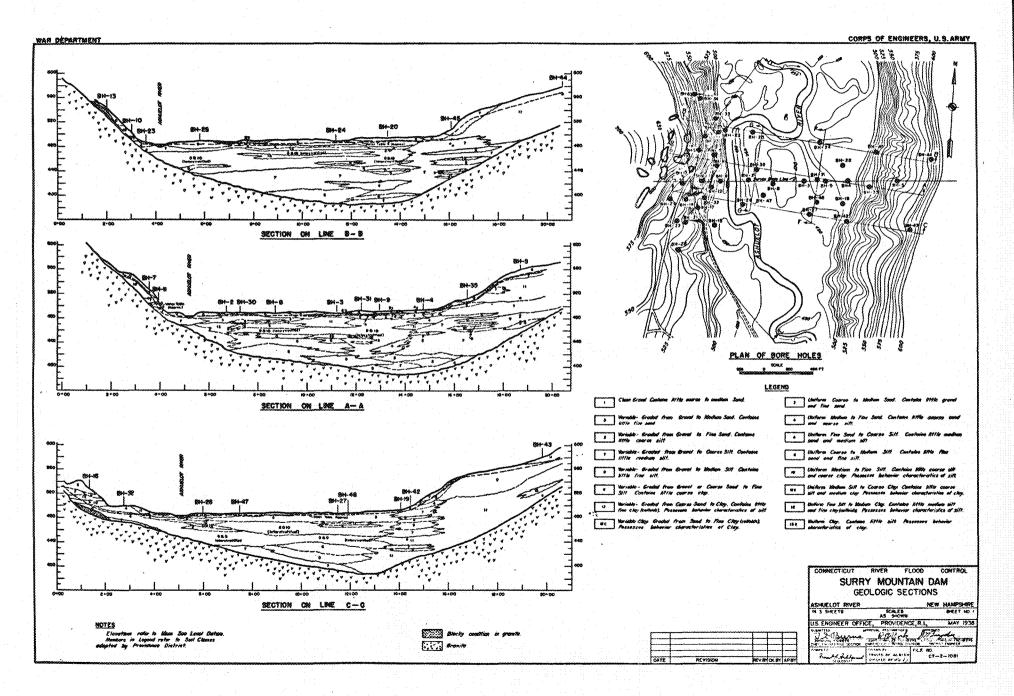
..... SANIMUM MERRADOR FLUW LINE A FLECTRIC SURSTAFION

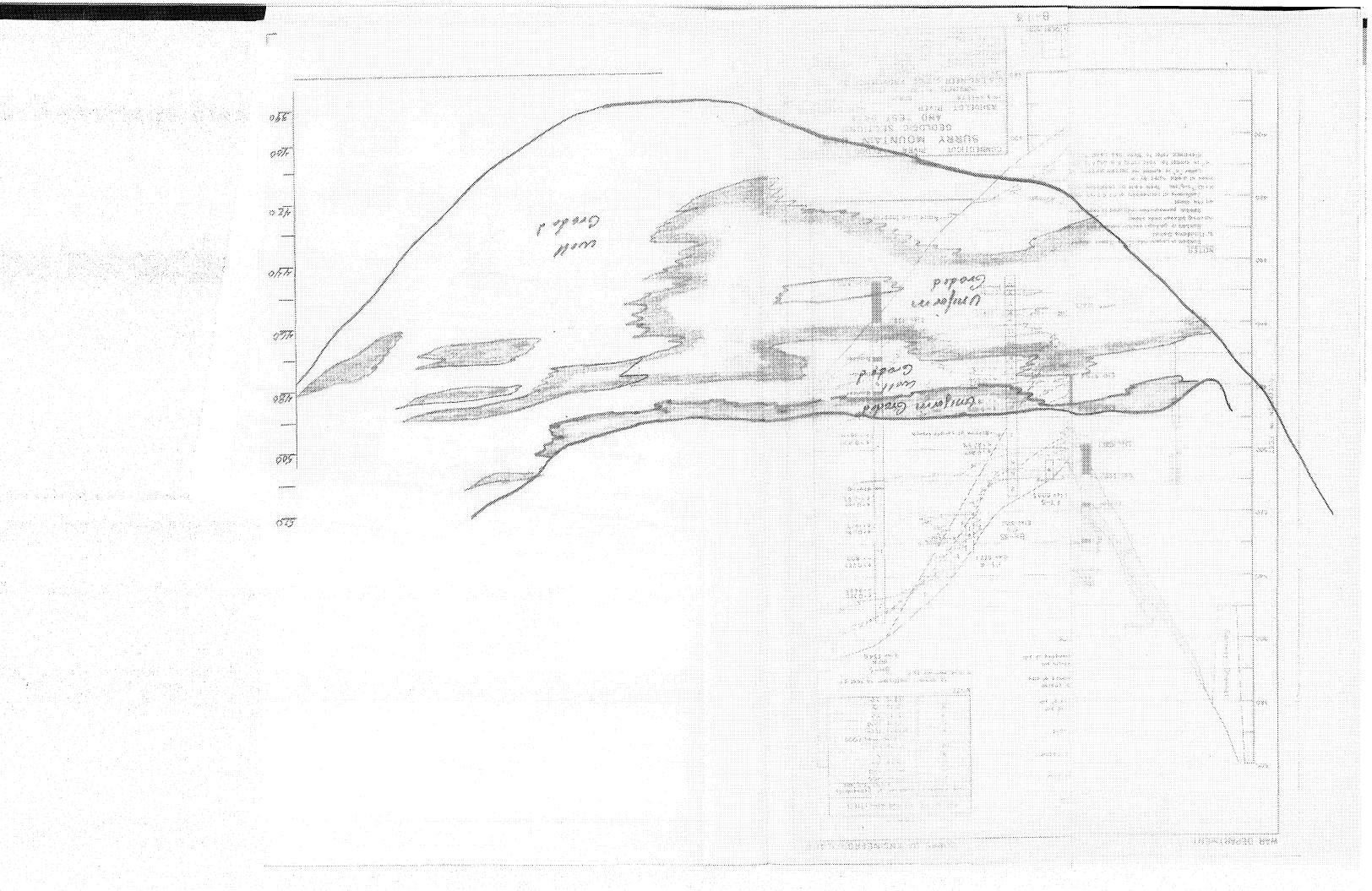
· HYDWOCLECTRIC GENERATING STATION

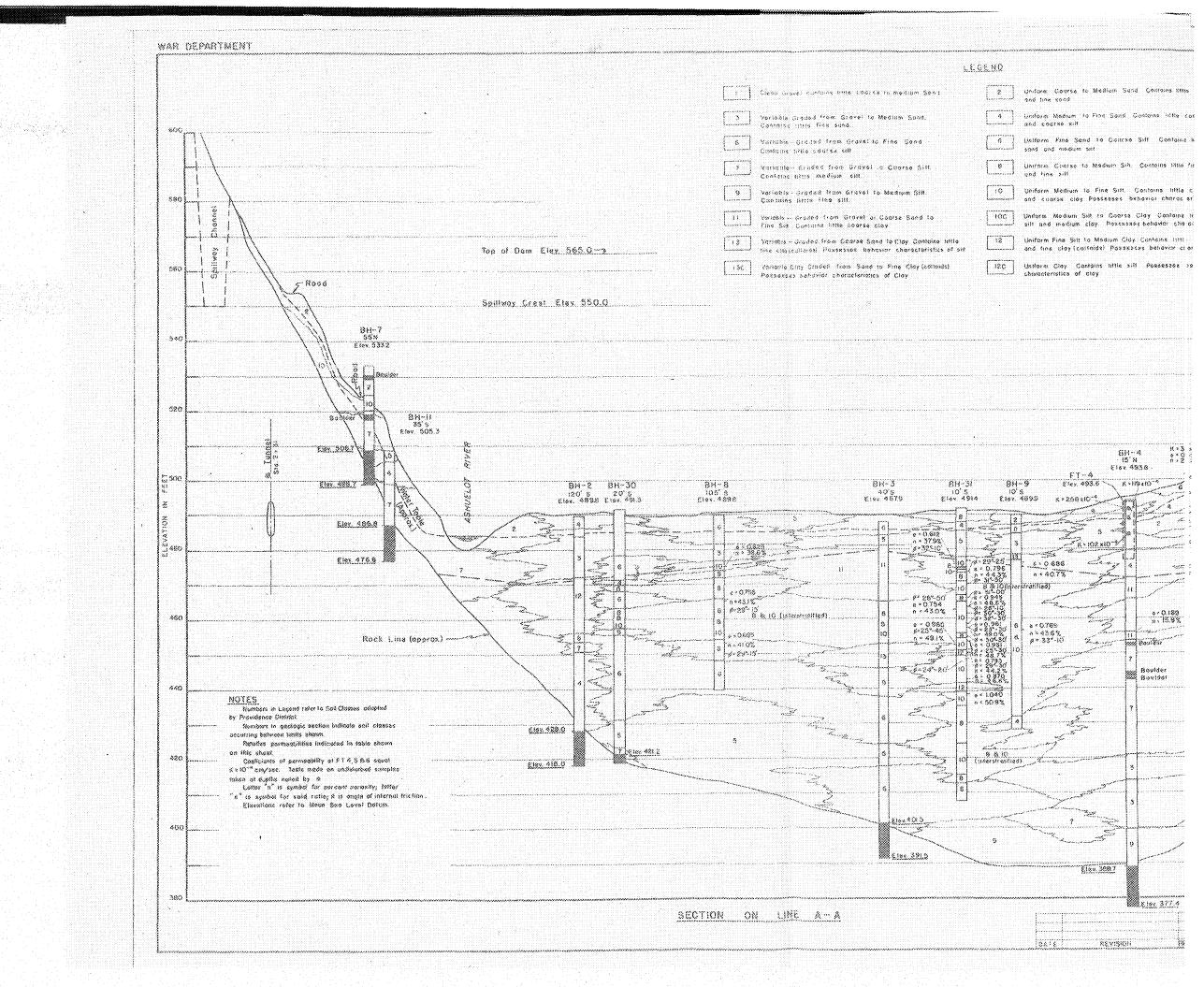












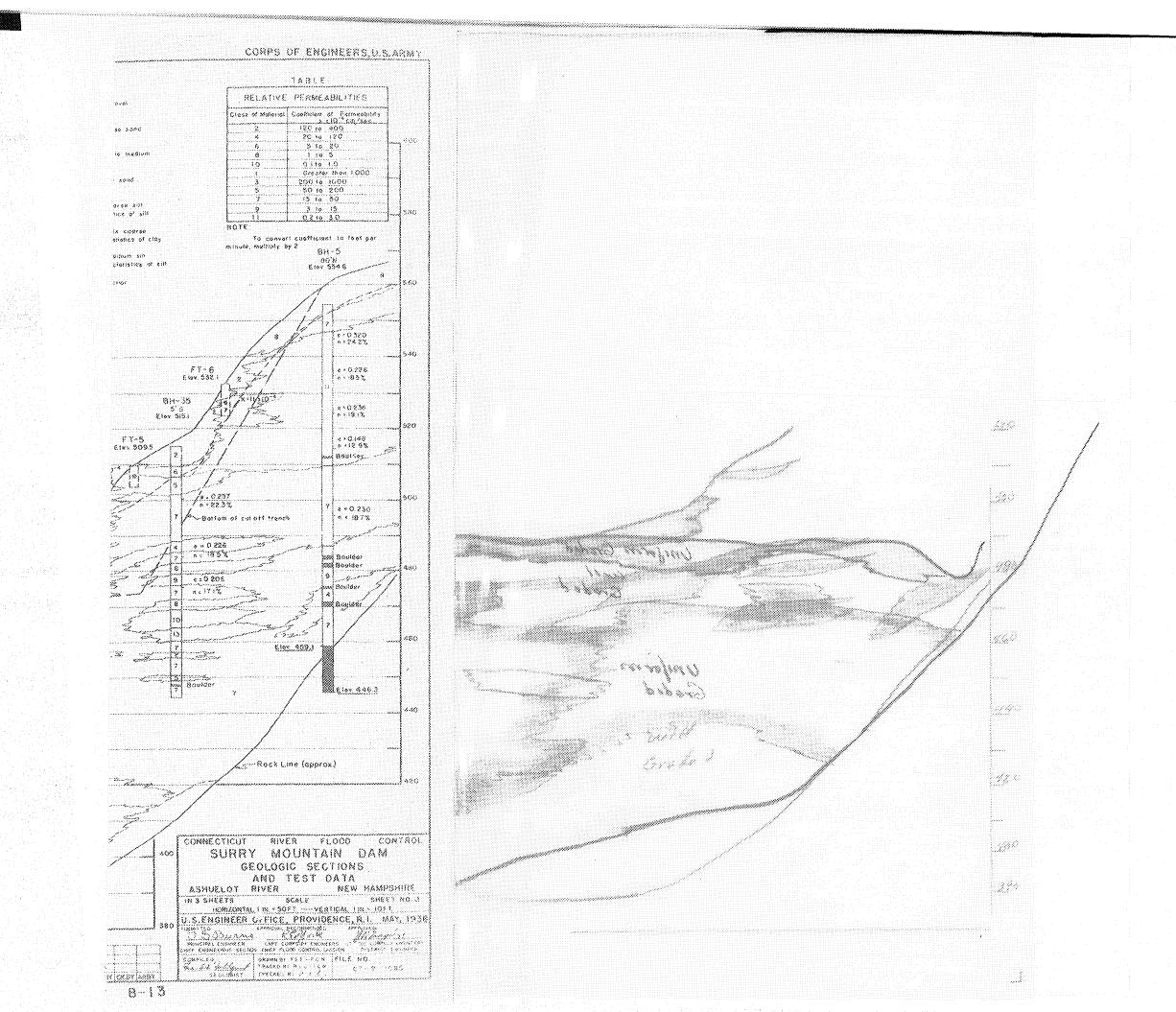
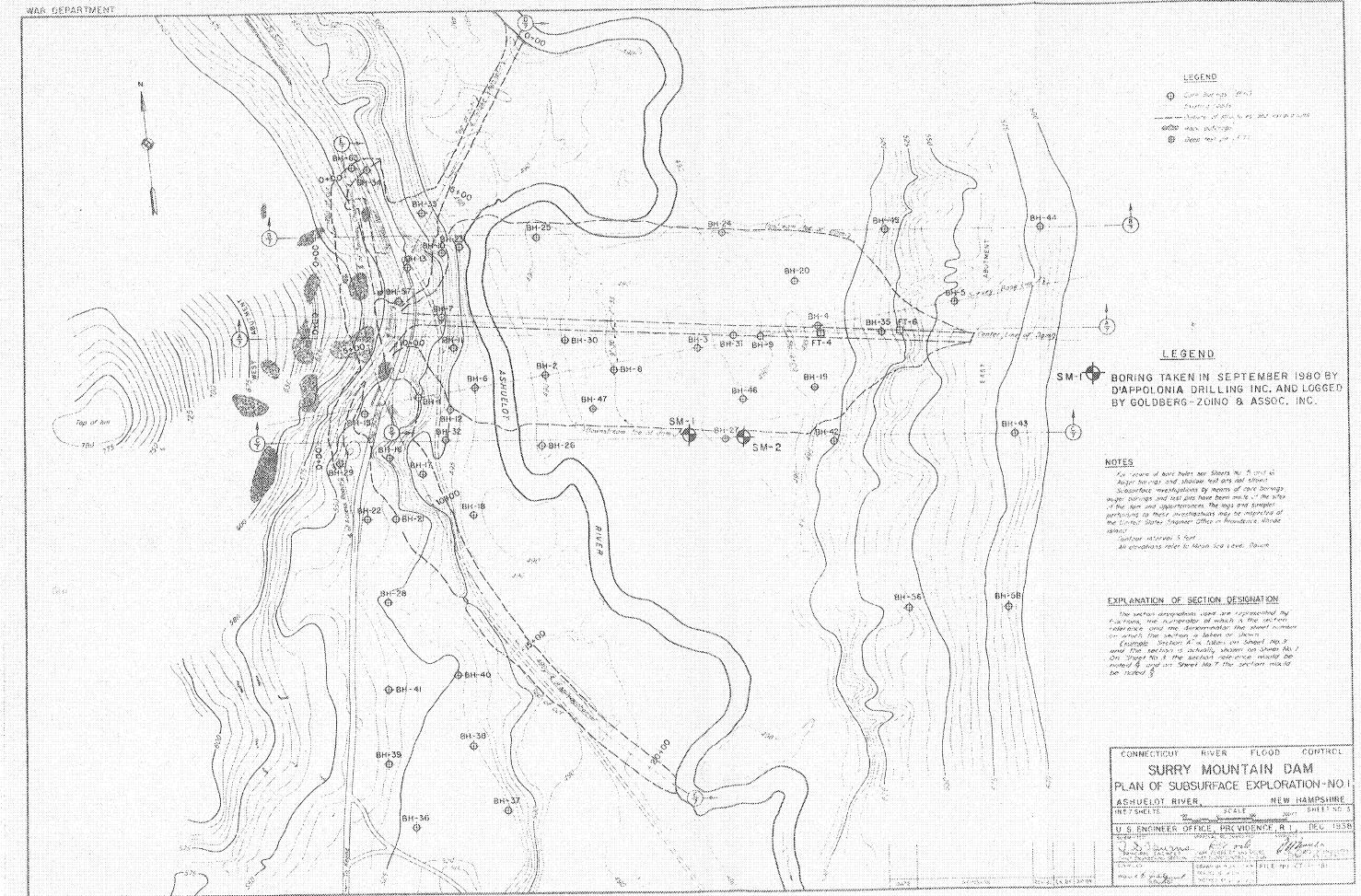


EXHIBIT C

BORING LOGS AND LABORATORY TEST RESULTS*

SUBJECT	PAGE
Boring Location Plan	C-1
Boring No. SM-1	C-2
Boring No. SM-2	C-5
Laboratory Test Results	C-8
Laboratory Testing Data Summary	C-9
Monotonic Triaxial Test Results	C-12
Cyclic Triaxial Test Results	C-18
Gradation Test Results	C-19

^{*}The material in this Exhibit was obtained from report entitled "Liquefaction and Cyclic Mobility Potential, Corps of Engineers Completed New England Dams, Phase II - Investigation, February 1981.



	GOLDBERG, ZOINO, DUNNICLIFF & SURRY MOUNTAIN DAM SHEET I OF 3 GEOTECHNICAL CONSULTANTS BORING CO. D'Appolonia Drilling BORING LOCATION STA. 11+10 (See Plan)													
	ВО	RING				1g B	ORING LOC							
•	FOI	REMA	N	Steve Bril	myer Beconvins	G	ROUND ELI	EV. 489.5'+ M.S.L.	***************************************					
	G·Z	-D ENG	SINE	R T. von	Kozenvini	J.c. D	ATE START		70000000000000000000000000000000000000					
		CASI	NG		SAI	MPLER C	_	GROUNDWATER READINGS DATE DEPTH CASING AT STABILIZATION	TIME					
	SIZE	4"	0.D.	TY	PE 2"0.D.	. Split Spoo	n IER: 3"	10/1 4.5' 8' 10 minutes	~					
		VER:	N/A	<u>lb.</u> HA	MMER 140) <u>lb</u> S	helby Tube		·····					
	FALL	.;	N/A	FA	س ــــــــــــــــــــــــــــــــــــ		/ Check alve							
****	I	CAS.		S	AMPLE	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	STRTA CHG. GEN. DESC.		m					
	DEPTH	BL /FT.	NO.	PEN./REC.	DEPTH	BLOWS/6"	SAMPLE DESCRIPTION	NOTE						
		2 -10-00-00-00-00-00-00-00-00-00-00-00-00-		2000 TO THE PROPERTY OF THE PARTY OF THE PAR				Loose, gray-brown, fine SAND,						
		00000000000000000	<u> </u>					some (+) slightly Organic Silt,						
			S-1	18"/12"	3.5'-5.0'	1-1-3	FILL	trace of roots (SM) and (OL)						
	5	200000000000000000					5'							
		***************************************						Medium dense, brown-gray, fine to						
	•						GRANULAR	coarse SAND, some (-) fine to						
		00000000000000000000000000000000000000	S-2	18"/8"	8.5'-	6-7-5	FILL	coarse Gravel, trace (+) Silt, slight organic odor (SW-SM)						
	10		ļ	<u></u>	10.0'			Stight organic oddi (an an)						
				200000000000000000000000000000000000000		······································	12.5'							
								Loose, gray, SILT, little fine						
	000	************	S-3	18"/10"	13.5'-	2-1-2	STRATI- FIED	Sand (ML)	1					
	15				15.0'	2-1-2	SILT							
						**************************************	AND CLAY							
		/#####################################	***************************************	***************************************			OLA!	Very soft, gray, interbedded SILT						
		#*************************************	<u>S-4</u>	18"/18"	18.5'-	1-1-1		and CLAY, trace fine Sand, low to						
	20				20.0'			medium plasticity (CL)						
		·····												
	25													
		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	T-1	24"/24"	26'-28'	Push		Loose, gray SILT and fine SAND (ML)						
							29'							
	30		<u>S-5</u>	18"/10"	30'-		FINE SAND AND SILT	Loose, gray, fine SAND, trace						
		Mark Control Control			31.5'			Silt (SP-SM)						
 	·	L	L		L		L	***************************************						

REMARKS: 1. Hollow stem augering to 15' replaced with 4" O.D. casing to 15' depth, and used bentonite drilling mud beyond this depth.

NOTES: 1) THE STRATIFICATION LINES REPRESENT THE APPROXIMATE BOUNDARY SETWEEN SOIL TYPES AND THE TRANSITION MAY BE GRADUAL.

2) WATER LEVEL READINGS HAVE SEEN MADE IN THE DRILL HOLES AT TIMES AND UNDER CONDITIONS STATED ON THE SORING LOGS. PLUCTUATIONS IN THE LEVEL OF THE GROUNDWATER MAY OCCUR DUE TO OTHER FACTORS THAN THOSE PRESENT AT THE TIME MEASUREMENTS WERE MADE.

ASS	SOCIAT	ES, I	NO, DONNICE NC. . CONSULTA		***************************************	OUNTAIN DA NEW HAMPSH		COO.		
DEPTH	CAS. BL.		r	AMPLE		STRTA CHNG and GEN DESC.	SAMPLE DESCRIPTION	NOTE		
ă	/FT.	NO.	PEN/REC.	DEPTH	BLOWS/6"	20 0 0 0		~~		
35		T-2	24"/20"	35'-37'	Push		Gray fine SAND, some Silt (SM)			
40		S-6	18"/10"	40'-	4-5-4	STRATI-	Loose, gray, fine SAND, little (-)			
		3-0	10 / 10	41.5'	3-3-4	FIED FINE	Silt (SP-SM)			
45		T-3	24"/24"	43'-45'	Push	SAND AND SILT				
		S-7	18"/12"	48'- 49.5'	3-3-3		Loose, gray, SILT and fine SAND, (ML)			
50				73.3						
		T-4	24"/22"	53'-55'	Push					
55										
							Loose, gray, fine SAND, little (+)			
60		S-8	18"/8"	58'- 59.5'	4-2-6		medium Sand layers (1" thick), little Silt, trace Clay layers			
60						61.5'	(1/8" thick) (SP-SM)			
		S-9	18"/12"	63'-	10-9-8	STRATI-	Medium dense, gray, fine to coarse SAND, trace (+) Silt (SW-SM)			
3 5				64.5'		FIED SAND	Shitting crace (1) Stite (Sw-Shi)			
		S-10	18"/12"	68'-	5-7-8		Medium dense, gray, fine SAND, trace Silt, trace (-) fine Gravel			
'0				69.5'			(SP-SM)			

OTES: 1) THE STRATIFICATION LINES REPRESENT THE APPROXIMATE BOUNDARY BETWEEN SOIL TYPES AND THE TRANSITION MAY BE GRADUAL.

2) WATER LEVEL READINGS HAVE BEEN MADE IN THE DRILL HOLES AT TIMES AND UNDER CONDITIONS STATED ON THE BORING LOGS. FLUCTUATIONS IN THE LEVEL OF THE GROUNDWATER MAY OCCUR DUE TO OTHER FACTORS THAN THOSE PRESENT AT THE TIME MEASUREMENTS WERE MADE.

REMARKS:

ASS	SOCIAT	ES, II	NO, DONNICE NC. . CONSULTA		- AND DESCRIPTION OF THE PARTY	OUNTAIN DA NEW HAMPSH		SHEET <u>3</u> OF DATE 10/3/80 FILE	3 E G-2729
DEPTH	CAS. BL.		S	AMPLE	300000000000000000000000000000000000000	STRTA CHNG and GEN DESC.		SAMPLE DESCRIPTION	NOTE
Ö	/FT.	NO.	PEN/REC.	DEPTH	BLOWS/6"	20 ° 02		THE STATE OF THE S	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
		S-11	18"/11"	73'-	15-9-11			lense, gray, interbed	
75				74.5'	-			of: fine to coarse SA	
						- Pa	Some Gra	vel (SW); and fine SA iilt (SP-SM)	"AD"
1						Stratified SAND	,,,,,,,	,,,,,	
		S-12	18"/14"	78.5'-	19-70-19	A th	Verv den	se, gray, interbedded	
00	***************************************	<u> </u>	19 /	80'		Str	layers o	of: fine SAND, little medium to fine SAND,	: Silt
80							(SP-SM);	medium to fine SAND, trace Silt (SP)	trace
	<u></u>								~~~ (F)
		<u>S-13</u>	12.5"/10"	82'-83'	29-80- 100 (1/2")	rock &	GRAVEL.	se, gray, fine to coa some (-) coarse to fi	ne (+)
•					100(1/2")	Ataver	Sand, tr	ace (+) Silt (GP-GM)	ż
85							Bottom o	of hole 083'.	2
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						4			

IEMARKS:

2. Refusal - 100 blows with 140 lb. hammer, 1/2 inch penetration.

ASS	OCIAT	ES, II	NO, DUNNICL NC. CONSULTA		SURRY MO	ROJECT DUNTAIN DAI NEW HAMPSH	***************	SHEE	TT	RING NO. <u>SM-</u> OF <u>3</u> FILE <u>G-2729</u>	
FO	REMAI	V	D'Appoloni Steve Brili R T. von	nyer	G	ORING LOC ROUND EL ATE STAR	EV	490.5	12+73 (Se ' <u>†</u> M.S.L. DATE END		
SIZE	CASII 6" Ai	VG.	Stem TY	<u>SAM</u> PE:2"0.D.	MPLER Split Spoor 40 16 30"	00000000000000000000000000000000000000	DATE 10/4		JNDWATER RI CASING AT 15'		TIM
DEPTH	CAS. BL. /FT.	NO.	S PEN/REC.	DEPTH	BLOWS/6"	STRTA CHG. GEN. DESC.	oooooooooooooooooooooooooooooooooooooo	SAMPLI	E DESCRIP	TION	MOTE
5		S-1 S-2	18"/18" 18"/6"	3.5'- 5.0'	2-1-1	, me	Silt, ti (SP-SM)	race fi		little (+) moist fill) rse SAND,	
10 -				10.0'		GRANULAR FILL	organic	odor (
, ,	00000000000000000000000000000000000000	<u>S-3</u>	18"/14"	15'- 16.5'	8-8-8	19'	coarse,	micace	brown, fin ous SAND, (+) Silt (some fine	
20 -		S-4	18"/18"	21'-	2-2-3	.T, CLAY		ick) Cl		nin (1/8" - , trace fine	
25 -		<u>S-5</u>	18"/12"	24.5'-	2-2-2	D SAND, SILT,	Clay (Clay from 1/	L) (cla 4" to 3	LT (ML) ar y in layer " thick), lasticity	nd Silty rs ranging trace fine	
30 -		S-6	18"/12"	29'- 30.5'	6-4-4	STRATIFIED		trace	Stiff Clay	e fine Sand layers	

REMARKS:

NOTES: 1) THE STRATIFICATION LINES REPRESENT THE APPROXIMATE BOUNDARY BETWEEN SOIL TYPES AND THE TRANSITION MAY BE GRADUAL.

2) WATER LEVEL READINGS HAVE BEEN MADE IN THE DRILL HOLES AT TIMES AND UNDER CONDITIONS STATED ON THE BORING LOGS. FLUCTUATIONS IN THE LEVEL OF THE GROUNDWATER MAY OCCUR DUE TO OTHER FACTORS THAN THOSE PRESENT AT THE TIME MEASUREMENTS WERE MADE.

	SOCIAT		vc.	. 17 8 No.29		OUNTAIN DA		SHEET2	OF3		
		· · · · •	. CONSULTA	NTS	KEENE.	NEW HAMPSH	IRE	DATE 10/3/80_	FILE G-2729	×10,000	
DEPTH	CAS. BL.		S	AMPLE		STRTA CHNG and GEN DESC.	OCCO COOLONIA MARKANIA MARKANIA	SAMPLE DESCRIP	TION	NOTE	
E.	/FT.	NO.	PEN/REC.	DEPTH	BLOWS/6"	120 g 0 g		***************************************		ž	
35		S-7	18"/16"	34.5'-	2-3-5		Loose, g	ray, clayey SILT	, slight	•	
				36'		STRATI-	plastici	ty (ML)		1	
				<u> </u>		FIED SILT					
						AND					
40		S-8	18"/11"	39.5'-	6-4-4	CLAY	Loose, g	Loose, gray, SILT, some fine Sand trace Stiff Clay (a 1" thick layer (ML)			
				41'			(ML)				
						1			•		
								671 W 34447	fine Cond		
45		S-9	18"/16"	44.5'-	3-3-6	46'	Loose, g	gray SILT, little ay layers (1/8"	to 1/2"		
				1 40		***************************************	thick) (
]					
					663		Madium	lense, gray, fine	CAND		
50·	ļ	<u>S-10</u>	18"/10"	49.5'- 51'	6-6-7	FINE	little (-) Silt (SP-SM)	SAND,		
			AND THE RESERVE AND THE RESERV	<u> </u>		SAND					
						4					
		S-11	18"/14"	54.5'-	6-7-9		Madium (iense, gray, fine	SAND		
55		3-11	10 / 14	56'		1		ilt (SP-SM)	. JAND 9		
i.								•			
		S-12	18"/11"	59.5'-	4-6-7		Medium o	lense, gray, fine	SAND,		
60		V 11		61'		62'	little m	nedium Sand layer ine Gravel (SP)	's (I" thick)		
						U4 :	**************************************		waaraa waa ka k		
						1	•		en e	1	
er		S-13	18"/6"	64'-	12-8-9	STRATI-	Medium o	dense, gray, fine	to coarse		
65·				65.5'		FIED	SAND, so	ome fine Gravel,	trace fine		
	 					SAND	ND Sand layer (1/2" thick) trace Si (SW-SM)		trace Silt		
ar Artist						1		ا مواجع د هردي			
70 [.]		S-14	18"/8"	69'-	7-6-7		Medium	dense, gray, fine ittle fine Grave	to coarse		
/0			nanagarinnakasasan kannagan jaga parakan kannagan kannagan kannagan kannagan kannagan kannagan kannagan kannaga	70.5'			Silt (SN-SM)				
						1					
	7	8		•	8	· •					

REMARKS: 1. Some gravelly resistance encountered with the roller bit at 37' and from 62' to 64'.

ASS	SOCIAT	ES, I	NO, DUNNICL NC. CONSULTA		SURRY	MOUNTAIN D		SHEET 3 OF 3 DATE 10/4/80 FILE G-2729	
DEPTH	CAS. BL.			AMPLE	I	STRTA CHNG and GEN DESC.		SAMPLE DESCRIPTION	NOTE
Ö	/FT.	NO.	PEN /REC.	DEPTH	BLOWS/6"	18 . OU	onnentiga, co	WWW.nno.cocq.cocq.cocq.cocu.www.nnna.nnno.cocq.cocc.cocc.cocc.cocc.coc.coc.coc.c	↓Z
75		S-15	18"/1"	74'- 75.5'	8-12-10	STRATI- FIED SAND	Medium o GRAVEL a Recovery	dense, gray, fine to coarse and SAND, trace Silt (Poor /) (GW)	
80		S-16	18"/10"	79'- 80.5'	9-7-8		Medium of medium S	dense, gray, fine (+) to SAND, trace (+) Silt, trace Gravel (SP-SM)	
		 			***************************************	1	Bottom o	of hole 080.5'	
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TES: 1) THE STRATIFICATION LINES REPRESENT THE APPROXIMATE BOUNDARY BETWEEN SOIL TYPES AND THE TRANSITION MAY BE GRADUAL.

OF THE BROUNDWATER MAY OCCUR DUE TO OTHER FACTORS THAN THOSE PRESENT AT THE TIME MEASUREMENTS WERE MADE.

LABORATORY TEST RESULTS

LABORATORY TESTING DATA SUMMARY

	r		<u>\$ 6</u>	T .	IDE	NTIFIC	ATION	TES	TS				STRE	NGTH TE	STS		CONSOL.	
Boring No.	Sample No.	Depth ft.	Laboratory or Test No.	Water Content %	LL %	PL %	Sieve -200 %	Hyd -2 <i>µ</i> %	€'s	8d pcf	Perme- ability	Torvane or Type Test	σ _c or σ̄ _c or σ psi	Failure Criteria	OI-O3 OR T PSi	Strain %	cc/e.	Laboratory Log and Soil Description
SM1	s3	13.5- 15.0	35	35.7														Grey SILT, little fine
																		Sand (ML)
							1								İ.			
~~																		
SM1	S4	18.5- 20.0	36	34.5	32	22	93	19	2.83									Grey SILT and CLAY of
																		low to medium plastic- ity, trace fine Sand
							<u> </u>						<u> </u>					(CL)
,												our control						
SM1	S5	30.0- 31.5	37	23.3														Grey fine SAND, trace
					<u> </u>	<u> </u>									<u> </u>			Silt (SM - SP)
																<u></u>		
·		70 0																
SM1	S7	48.0- 49.5	38	31.6			65.0											Grey SILT and rine SAND (ML)
·							ļ	ļ				ļ			<u> </u>			(ILL)
	l de se	ļ	<u> </u>		ļ		 	 				ļ				ļ		
-i		69.0		ļ	ļ	<u> </u>	·		ļ		ļ		ļ		<u> </u>			
SM1	S10	68,0-	39	24.6	ļ	ļ	ļ		ļ			-	ļ	<u> </u>	ļ			Grey fine <u>SAND</u> , trace fine Gravel, trace Sile
					ļ	ļ	ļ		ļ		-				 			(SM - SP)
· · · · · · · · · · · · · · · · · · ·			<u> </u>	ļ	ļ		 											
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LABORATORY TESTING DATA SUMMARY

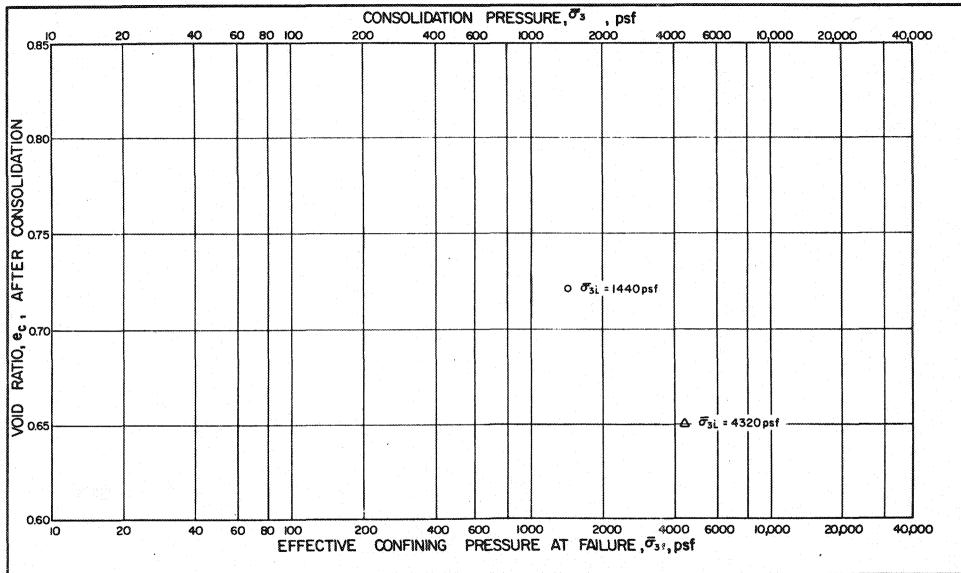
	F		20		IDE	NTIFIC	ATION	TES	TS			·	STRE	NGTH TE	STS		CONSOL.	
Boring No.	Sample No.	Depth	Laboratory or Test No.	Water Content %	LL %	PL %	Sieve -200 %	Ηyd -2μ %	સ્ટ ક	yd pcf	Perme- ability	Torvane or Type Test	σ _c or σ̄ _c or σ psi	Foilure Criteria	σ ₁ - σ ₃ οη τ ρεί	Strain %	C _C /e _o	Laboratory Log and Soil Description
SM1	S12	78.5- 80.0	40	18.4														Grey fine to medium
																		SAND, little fine
																		Gravel, trace Silt (SM - SP)
SM2	S4	$\frac{21}{22.5}$	41	37.7														Grey SILT, trace fine
0 1000000000000						ļ									ļ	 		Sand (ML)
			<u> </u>			ļ	ļ								ļ			
		24-5-	<u> </u>			ļ	 								-			
SM2	S5	24.5- 26.0	42	35.3	29	26	97	13_								ļ		Grey Clayey SILT of
	ļ		ļ		ļ		 				-				ļ			slight plasticity. Trace fine Sand (ML)
	ļ		-			<u> </u>	 				 							
SM2	S7	34 ₆ 5 ₀		41.2	33	30	100	4			<u> </u>					-		
		36.0	43_	7.2.4		30	100	-			 					<u> </u>		Grey <u>Clayey SILT</u> of slight plasticity (ML)
	-		 		 		1	-	 -									
			- 1				1		 -			 	<u> </u>			 		
SM2	59	44.5 46.0	44	35.4			88					 						Grey SILT, little fine
	<u> </u>	70.0	 			 		 							<u> </u>			Sand (ML)

C-10

LABORATORY TESTING DATA SUMMARY

	71		2 0	<u> </u>	IDE	NTIFIC	ATION	TES	TS				STRE	NGTH TE	STS		CONSOL.	
Boring No.	Sample No.	Depth	Laborato or Test N	Water Content %	LL %	PL %	Sieve -200 %	Hyd -2 <i>µ</i> %	₽0.8	8d pcf	Perme- ability	Torvane or Type Test	σ _c or σ̄ _c or σ psi	NGTH TE Failure Criteria	σ ₁ -σ ₃ οη τ ρεί	Strain %	c _c /e,	Laboratory Log and Sail Description
SM2	S11	54.5- 56.0	45	26.8			†											
			1	13,0														Grey brown fine <u>SAND</u> , trace Silt (SM - SP)
							1											
!************************************			 				 					 	 			-		
	1	64.0- 65.5	46	14.1			1	-			 	 			-			Grey fine to coarse
SM2	S13	63.3	1-0	14.1	 		 	 						 				SAND, some fine Gra-
***************************************	 				 	-	 					<u> </u>	-		 	 		vel, trace Silt (SM - SW)
	 		-				 	-			-	 				 		
SM2	S14	69.0- 70.5	47	34.0		-	-			 	-			 				Grey fine to coarse
	-	/0.5	47	14.9			-			<u> </u>	-			<u> </u>				SAND, little fine Gravel, trace Silt (SM -
·	-		 		}	-	 				-			 	-	-		vel, trace Silt (SM - SW)
	-		 		-	-	 			<u> </u>	-			 	ļ] Sw/
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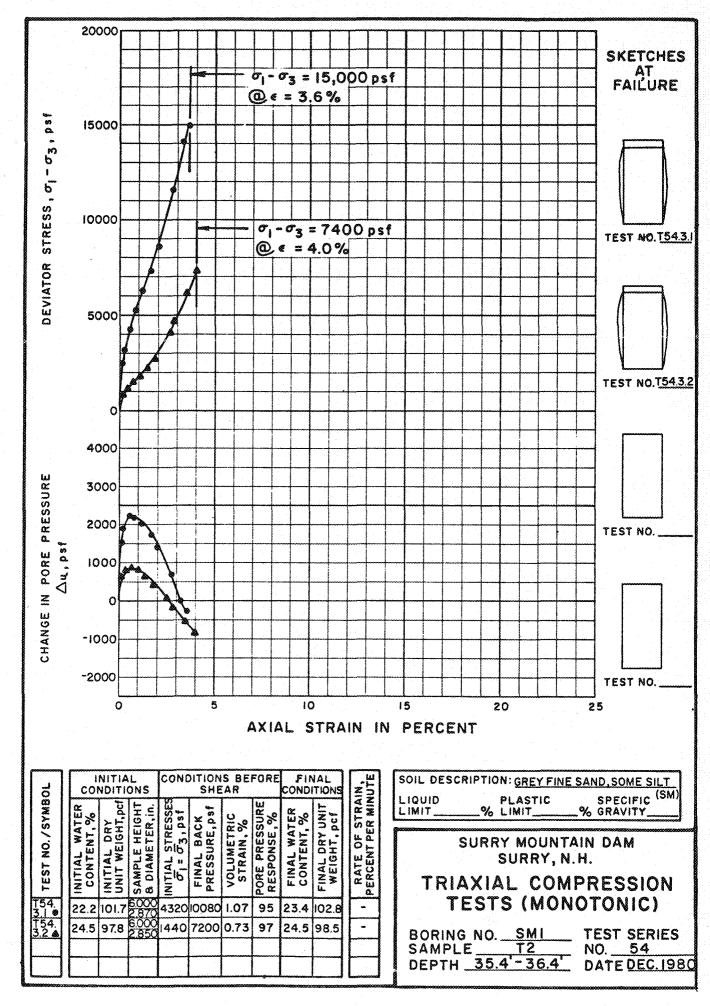


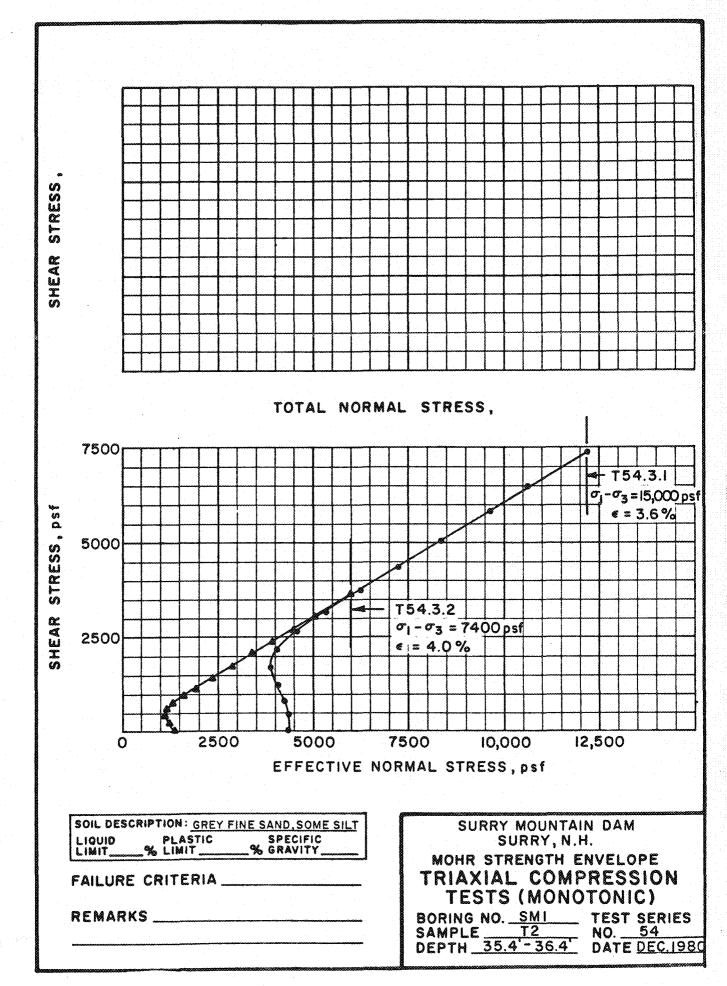
		SANGING	DEDTH	INITIA	L COND			OITIONS I				FINAL O	
TEST No.	SYM	BORING No.				HT. DIA.	වී • එි\$ psf	n p	€# (%)	B (%)	8 6	ന# (%)	74,
T54.3.I	Δ	SMI	35.9 <i>-</i> 36.4	22.2	101.7	2.870	4320	10080	\$			23.4	
T54.3.2	0		35.4- 35.9	24.5	97.8	2.850	1440	7200	0.73	97	0.72	24.5	98.5

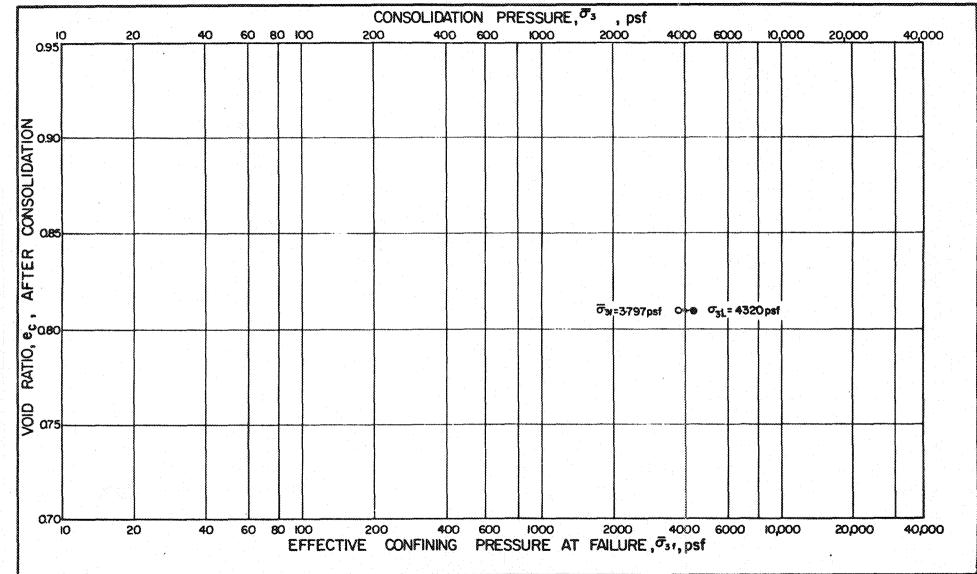
SURRY MOUNTAIN DAM SURRY, N.H.

SUMMARY PLOT
MONOTONIC TRIAXIAL TESTS

DATE DEC. 1980







		DODING.	DEDTH	INITIA	L CONE	ITIONS	CONE	DITIONS	BEFORE	LOADI	NG	PINAL C	
TEST Na	SYM	No.	(ft.)	ω _N (%)	76 pet	HT. DIA.	වී • වි3 psf	L p	€4 (%)	β (%)	8 8	ധ്യ (%)	Ž
T53.3.2	•	SMI	26.3- 27.7	6.7		2.900	4320	8640	1.51	9	0.81	31.5	93.6
***************************************													1 1 1 1 1

SURRY MOUNTAIN DAM SURRY, N.H.

SUMMARY PLOT MONOTONIC TRIAXIAL TESTS

DATE DEC.1980

SHEAR STRESS TOTAL NORMAL STRESS, 15,000 STRESS, pst $\sigma_{1} - \sigma_{3} = 8585 \text{ psf}$ @ = 16.7% 10,000 SHEAR 5000 0 5000 10,000 15,000 EFFECTIVE NORMAL STRESS, psf

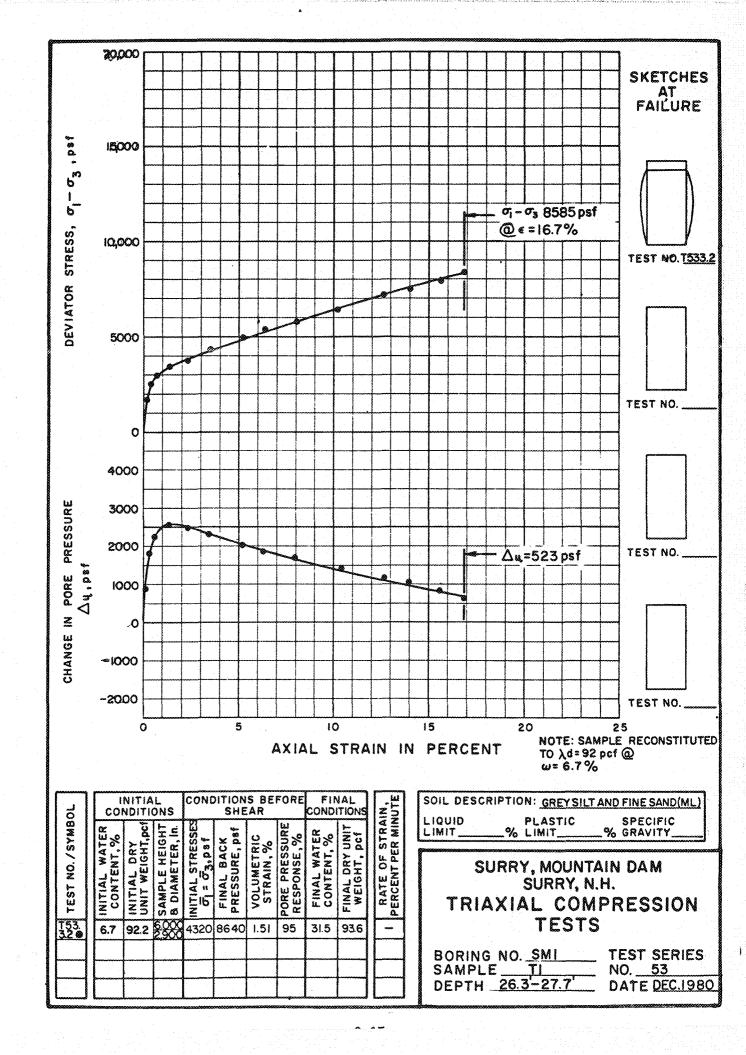
SOIL DESCRIPTION: GREYSILT AND FINE SAND (ML) LIQUID PLASTIC SPECIFIC
% LIMIT _____ % GRAVITY FAILURE CRITERIA

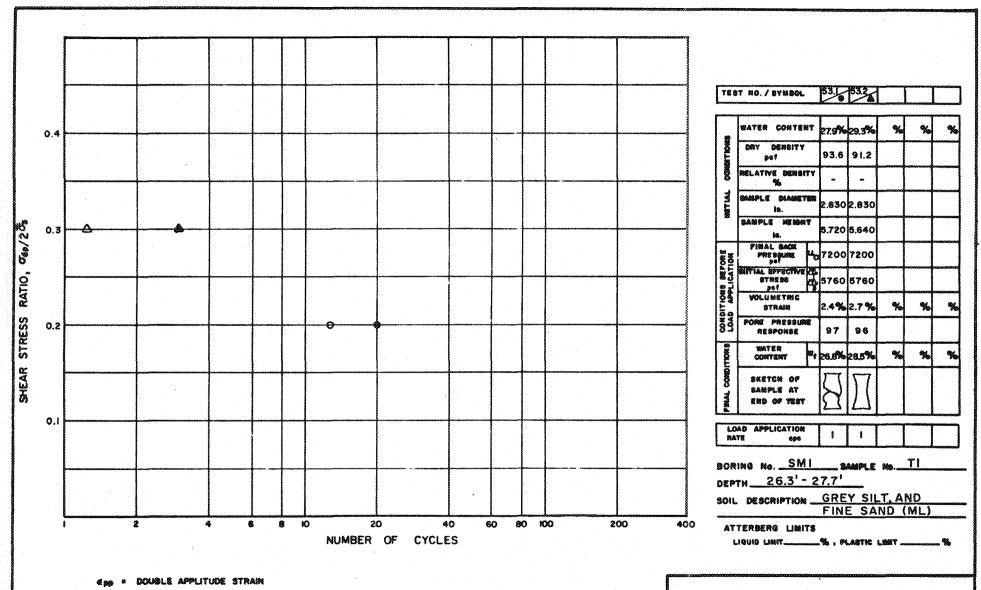
REMARKS _____

SURRY MOUNTAIN DAM MOHR STRENGTH ENVELOPE TRIAXIAL COMPRESSION TESTS

BORING NO. SMI TEST SERIES SAMPLE_ TI DEPTH 26.3-27.7 DATE DEC.1980

NO. __53





Ode CYCLIC DEVIATOR STRESS (01-05)

O, A . NUMBER OF CYCLES TO Epp : 5% .A . NUMBER OF CYCLES TO Epp : 10% SURRY MOUNTAIN DAM SURRY, N.H.

SUMMARY PLOT SHEAR STRESS RATIO VS CYCLES

DATE DEC. 1980

DEPTH 27.0' - 27.2'

U.S. STANDARD SIEVE SIZE

NO.10

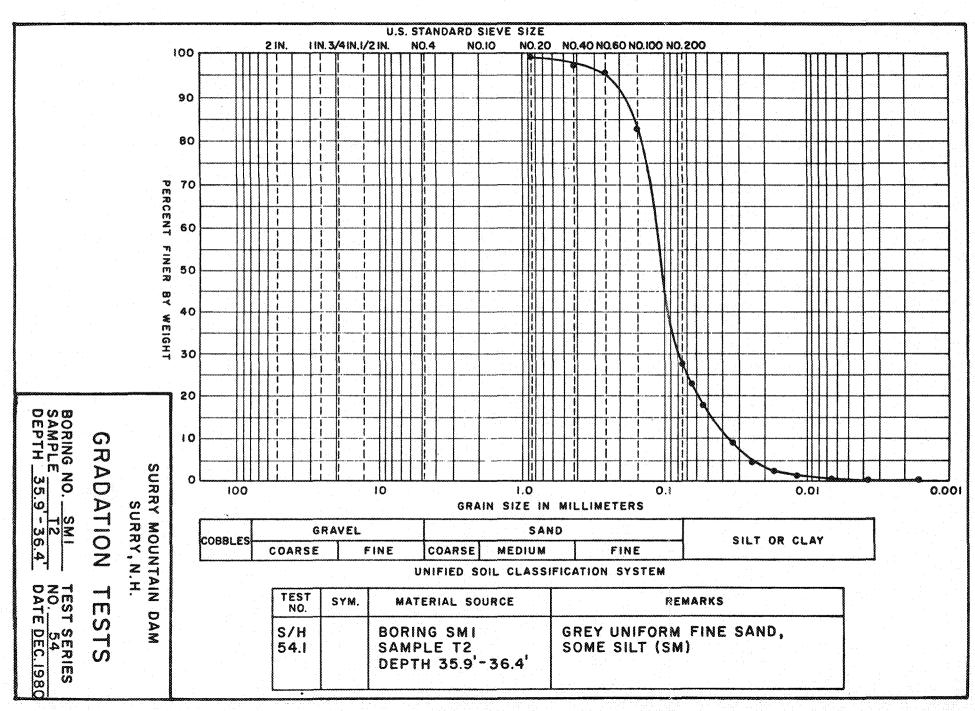
NO.20 NO.40 NO.60 NO.100 NO.200

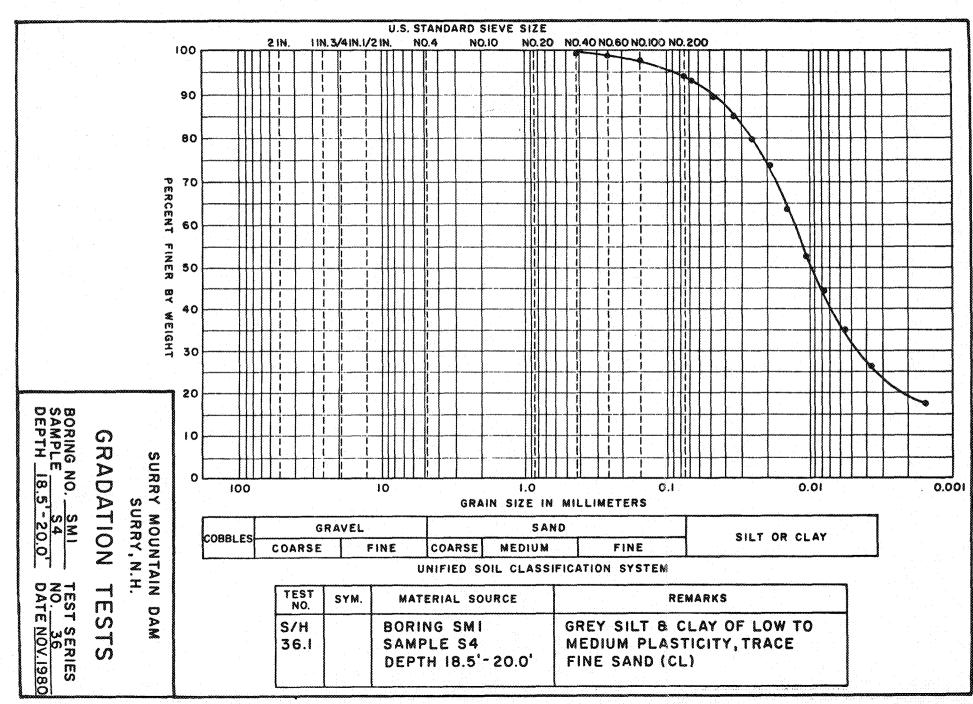
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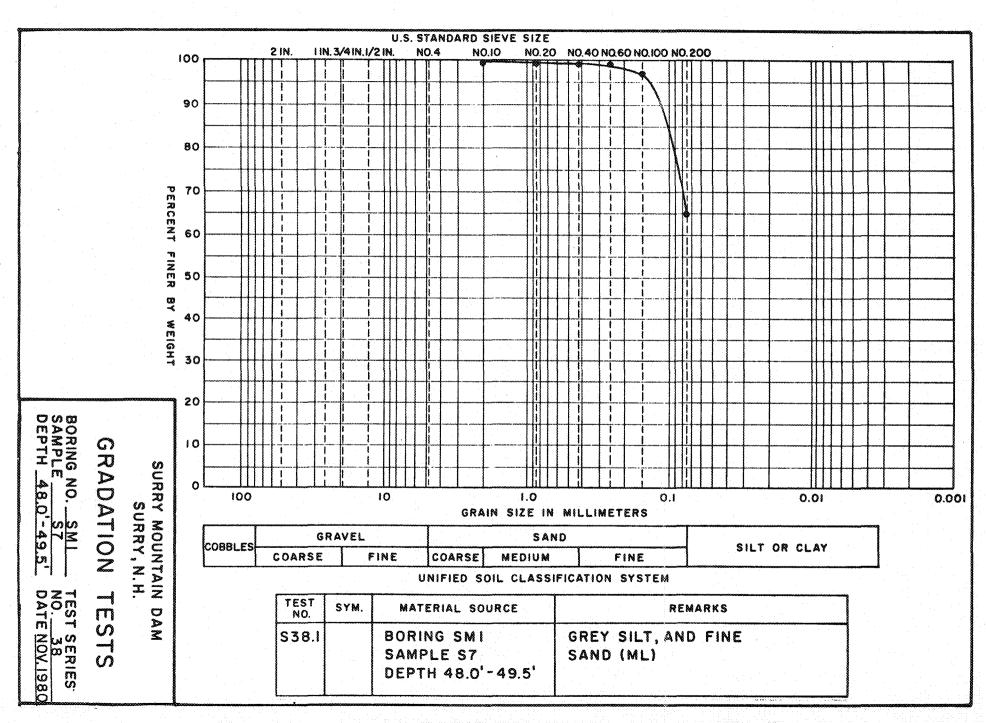
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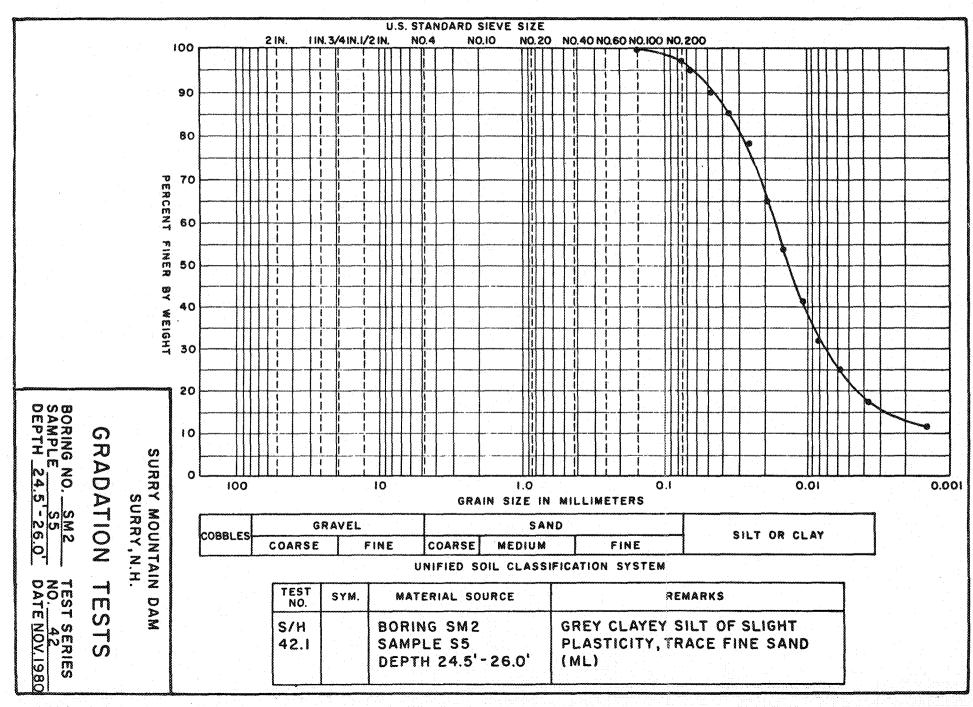
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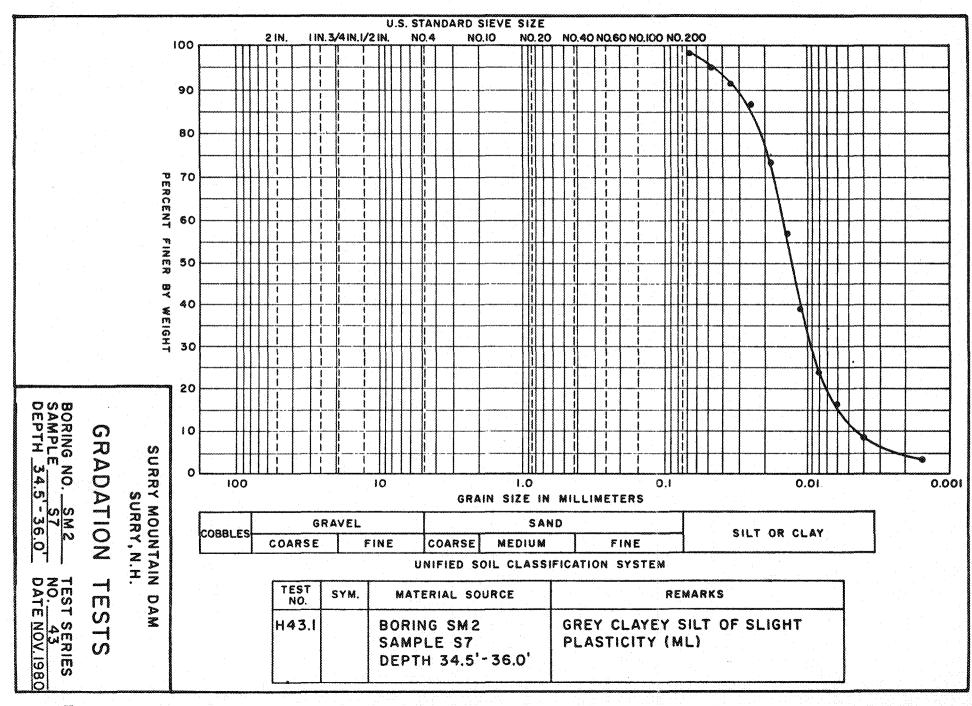
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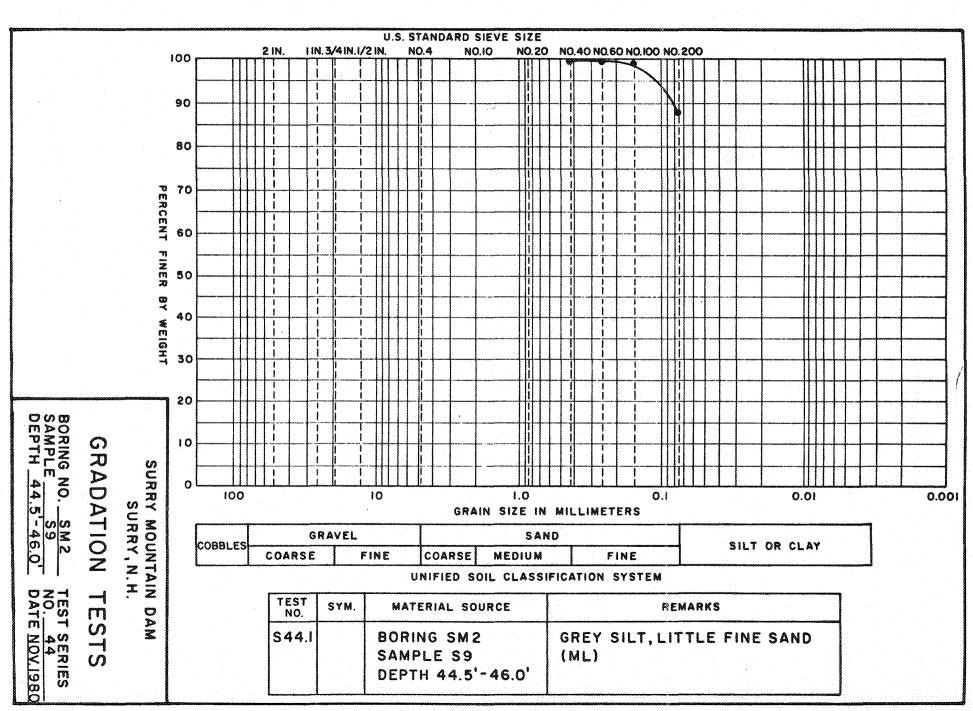


EXHIBIT D

PSEUDO-STATIC EARTHQUAKE STABILITY ANALYSES*

SUBJECT	PAGE
Summary of Analyses	D-1
Pseudo-static Analyses of Upstream Slope	D-3
Pseudo-static Analyses of Downstream Slope	D-7

^{*}The material in this exhibit was obtained from report entitled "Earthquake Design and Analyses for Corps of Engineers Dams, Stability Analyses by the Coefficient Method, Completed New England Division Dams", August 1980.

SURREY MOUNTAIN DAM

The pseudo-static analysis of Surrey Mountain Dam is based on the information contained in the following documents:

Surry Mountain Dam - Analysis of Design, Corps of Engineers, U. S. Army, U. S. Engineer Office, Providence, Rhode Island, Revised July 1939.

Cross Section Analyzed

The cross section at Sta 11+00 (Plate 42 in the Design Memorandum) was analyzed for stability of the upstream and downstream slopes. This is the maximum height section of the dam.

Embankment and Foundation Materials

The shear strengths and unit weights adopted for the pseudostatic analyses were based on the data presented in the Design Report. The shear strength data in the Design Report is discussed below for each material, numbered in accordance with the material numbers presented in Figs. A227 to A234. Comments are made when the shear strength adopted for the pseudo-static analysis was not based on data given in the Design Report.

- Dumped Rock. No strength for the dumped rock was given in the Design Report. The strength used for the pseudostatic analysis was selected on the basis of experience with similar materials.
- 2. Pervious Fill. The drained shear strength data in the Design Report are based on the results of direct shear tests.
- Random Impervious. The shear strength parameters presented in the Design Report were based on the results of direct shear tests.
- 4. Select Impervious. The shear strength data presented in the Design Report were based on the results of direct shear tests.

- 5. Foundation Sand. The shear strength data presented in the Design Report correspond to direct shear tests.
- 6. Foundation Silt. Shear strength tests on the silt presented in the Design Report incorporated varying rates of shear but they were probably all drained tests. The shear strength value selected in the Design Report corresponded to the lowest range of values measured.

Selection of Phreatic Surface

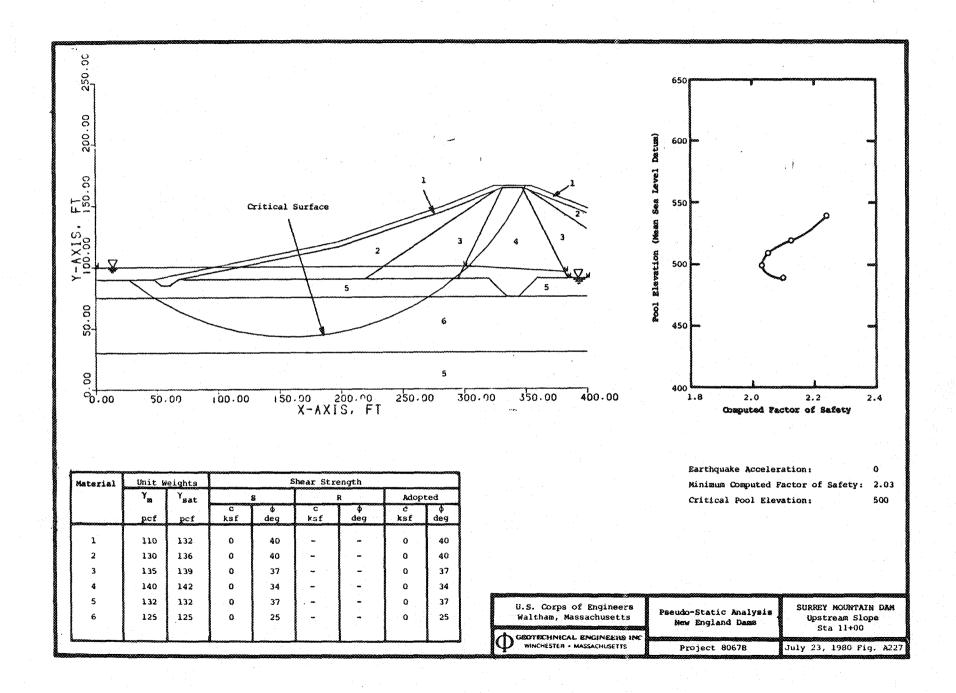
Approximate phreatic surfaces for various pool elevations were constructed using the analytical solutions for location of the seepage exit point presented by R. Lo (1969). The horizontal permeability of the impervious zone was assumed to be nine times the vertical permeability. Based on the permeability values presented on p. 39 of the Design Memorandum, the permeability of the Random Impervious material was taken to be 100 times that of the Select Impervious material and, therefore, the Random Material downstream of the Select Impervious core was assumed to be completely drained. The phreatic surfaces used for the pseudo-static analysis are somewhat different than the phreatic surface shown on Plate 46 in the Design Memorandum.

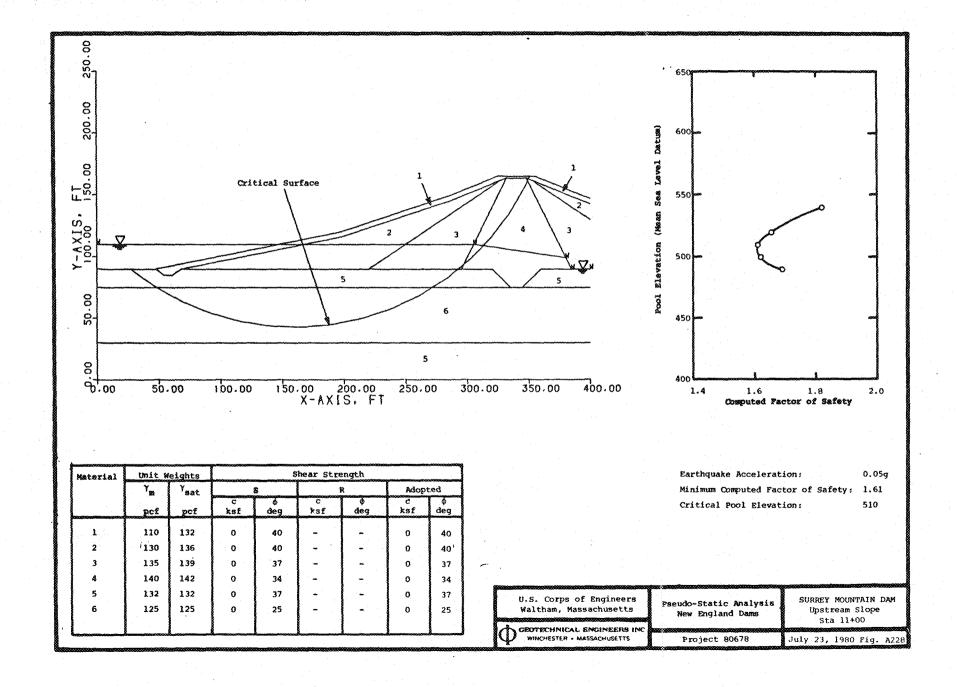
Potential Failure Surfaces Analyzed

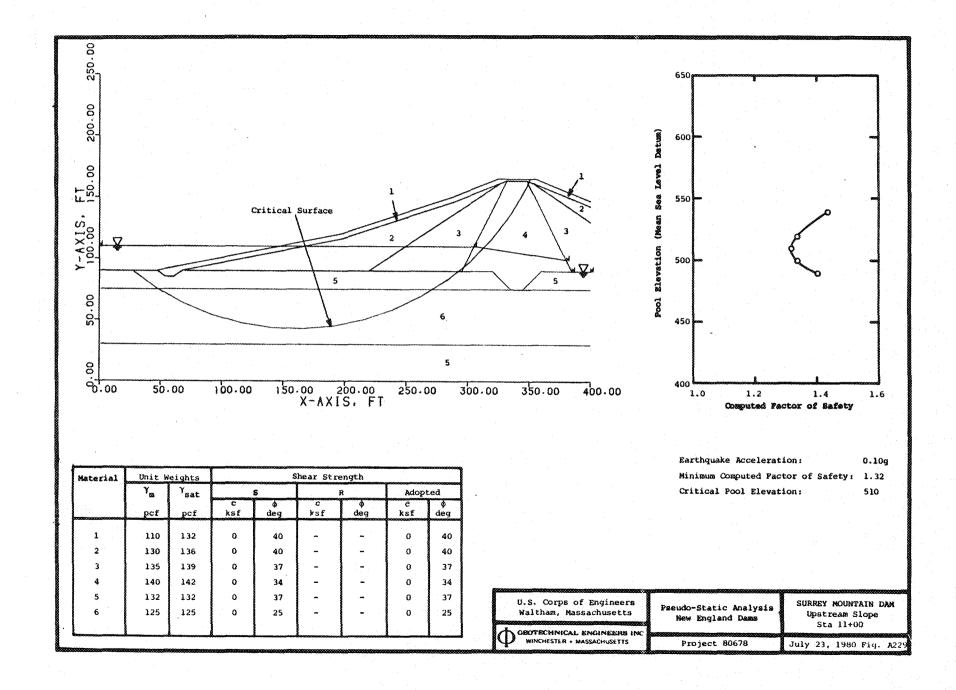
Potential failure surfaces analyzed for the upstream and downstream slopes were circular surfaces extending from the crest and upper portion of the slope behind the crest to the break in slope at El 520, the lower portion of the slope in the vicinity of the toe, and the ground surface beyond the toe.

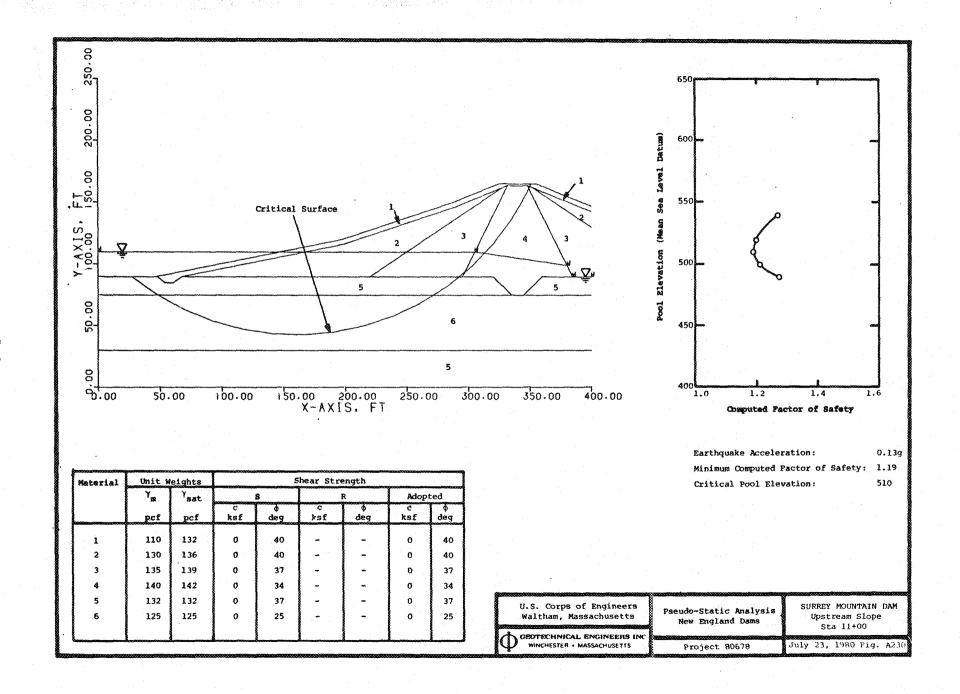
Comments on Results

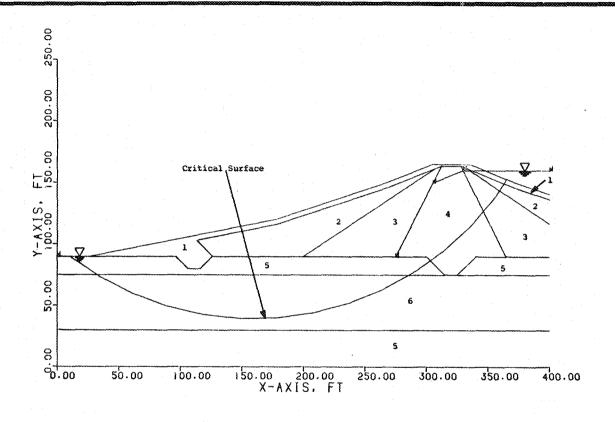
The stability analyses presented in the Design Memorandum consist of rapid drawdown and "complete capillary saturation" analyses using stability charts based on the Friction-Circle Method and a sliding-wedge analysis for a deep failure through the foundation silt (Material No. 6 in Figs. A227 to A234) which is apparently an analysis for the condition at the end of construction. A meaningful comparison cannot be made between the analyses presented in the Design Memorandum and the static analyses performed for the present investigation.











Material	Unit W	eights	Shear Strength						
	Y	Ysat	s		R		Adopted		
	8	pcf	. C ksf	deg deg	c ksf	ф deg	c ksf	¢ deg	
1	110	132	0	40	_	~	0	40	
2	130	136	0	40	-	-	0	40	
3	135	139	0	37	- 1	-	В	37	
4	140	142	0	34	-	-	0	34	
5	132	132	0	37	-	-	0	37	
6	125	125	0 .	25	_	_	0	25	
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Minimum Computed Factor of Safety: 1.86

Maximum Pool Elevation:

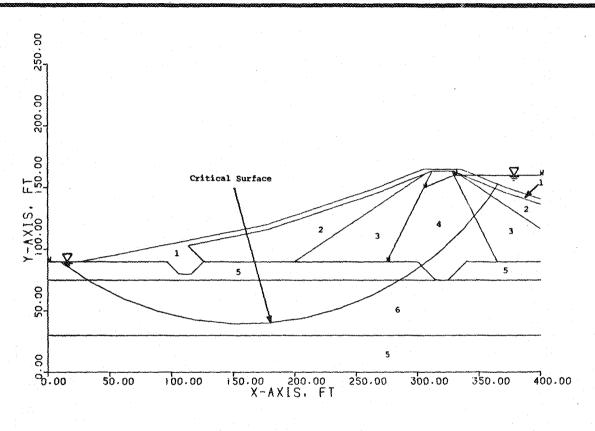
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Pseudo-Static Analysis New England Dams SURREY MOUNTAIN DAM Downstream Slope Sta 11+00

GEOTECHNICAL ENGINEERS IN WINCHESTER - WASSACHUSETTS

Project 80678



Material	Unit W	Unit Weights Shear Strength						
	Y	Ysat	8		R		Adopted	
	pcf pcf	c ksf	đeg đeg	c ksf	deg deg	c ksf	qea q	
1.	110	132	. 0	40	-	-	; O	40
2	130	136	0	40	-	-	0	40
3	135	139	0	37		-	0	37
4	140	132	.0	34	-	-	0	34
5	132	132	0	37	-	-	0	37
6	125	125	0.	25	~	-	0	25

0.05g

Minimum Computed Factor of Safety: 1.50

Maximum Pool Elevation:

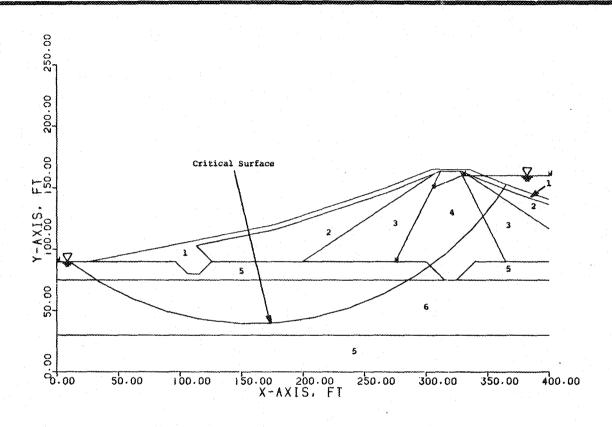
560

U.S.	Corps of	Engineers
Walth	am, Mass	achusetts

Pseudo-Static Analysis New England Dams SURREY MOUNTAIN DAM Downstream Slope Sta 11+00

GEOTECHNICAL ENGINEERS INC.
WINCHESTER - MASSACHUSETTS

Project 80678



Materi	al	Unit W	eights			hear Str	ength					
	20000	Y	Ysat		S		R	Adop	ted			
			pcf	c ksf	deg	c ksf	ø deg	c ksf	φ deg			
1		110	132	0	40	_	-	0	40			
2		130	136	0	40	-		0	40			
3		135	139	0	37	-	-	0	37			
4	8	140	142	0	34		-	0	34			
5	90000	132	132	0	37	-	-	0	37			
6		125	125	0	25	-	-	٥	25			
				}		•						

0.10g

Minimum Computed Factor of Safety: 1.26

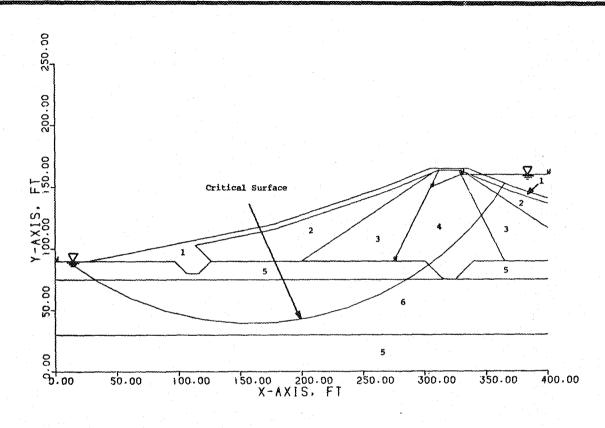
Maximum Pool Elevation: 560

U.S. Corps of Engineers Waltham, Massachusetts

Pseudo-Static Analysis New England Dams SURREY MOUNTAIN DAM Downstream Slope Sta 11+00

GEOTECHNICAL ENGINEERS INC WINCHESTER . MASSACHUSETTS

Project 80678



Material	Unit w	eights	Shear Strength						
	Ϋ́	Ysat	8		R		Adopted		
	pcf pcf		c ksf	deg	c kaf	deg	e ksf	deg deg	
:::: 1	110	132	0	40	-	_	0	40	
2	130	136	0	40	-	-	0	40	
3	135	139	- 0	37	-	_	0	.37	
4	140	142	0	34	-		0	34	
5	132	132	0	37	ě ~	-	0	37	
6	125	125	0 .	25	8 ~	-	0	25	

0.13g

Minimum Computed Factor of Safety: 1.15

Maximum Pool Elevation:

560

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Walthar	n, Mass	achusett	3

Pseudo-Static Analysis New England Dams SURREY MOUNTAIN DAM Downstream Slope Sta 11+00

GEOTECHNICAL ENGINEERS INC WINCHESTER • MASSACHUSETTS

Project 80678